



## Design and Analysis of Algorithms (XVIII)

An Introduction to Approximation Algorithms

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# NP-Hard and Optimization Problems

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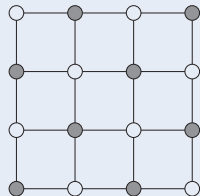
Under the widely believed conjecture that  $P \neq NP$ , their exact solution is prohibitively time consuming.

**Approximability** of these problems becomes a compelling subject of scientific inquiry in computer science and mathematics.

## VERTEX COVER

Given an undirected graph  $G = (V, E)$ , and a cost function on vertices  $c: V \rightarrow \mathbb{Q}^+$ , find a minimum cost **vertex cover**, i.e., a set  $V' \subseteq V$  such that every edge has at least one endpoint incident at  $V'$ .

The special case, in which all vertices are of unit cost, will be called the **cardinality vertex cover** problem.



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A feasible solution that achieves the optimal objective function value is called an optimal solution.

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By “close” we mean within a **guaranteed factor** of the optimal.

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How do we establish the **approximation guarantee**? The answer provides a key step in the design of **approximation algorithms**.



# Matching

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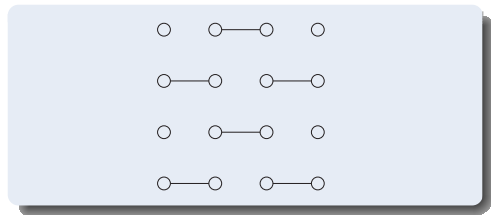
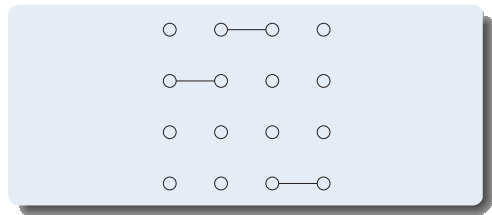
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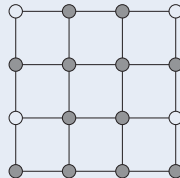
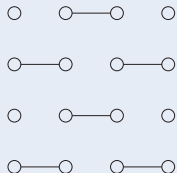
A matching that is maximal under inclusion is called a maximal matching.

A **maximal matching** can clearly be computed in **polynomial time** by simply **greedily** picking edges and removing endpoints of picked edges. More sophisticated means lead to polynomial time algorithms for finding a **maximum matching** as well.

# Approximation for CARDINALITY VC

## Algorithm

Find a **maximal matching** in  $G$  and output the set of **matched vertices**.





# Approximation Factor

The **Algorithm** is a **factor 2** approximation algorithm for the **cardinality vertex cover** problem.

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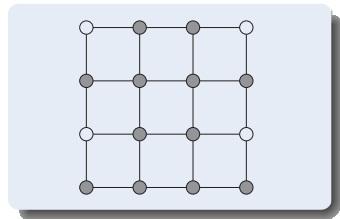
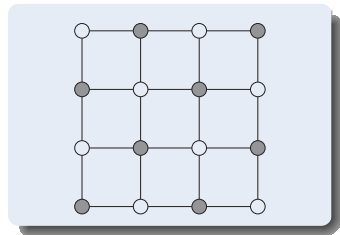
The **Algorithm** is a **factor 2** approximation algorithm for the **cardinality vertex cover** problem.

*Proof.*

- No edge can be left uncovered by the set of vertices picked.
- Let  $M$  be the matching picked. As argued above,

$$|M| \leq OPT$$

- The approximation factor is at most  $2 \cdot OPT$ .



## Lower Bounding OPT

The approximation algorithm for vertex cover was very much related to, and followed naturally from, the **lower bounding scheme**. This is in fact typical in the design of approximation algorithms.

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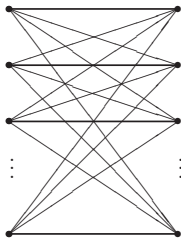
Can an approximation algorithm with a **better guarantee** be designed using the **lower bounding scheme** of **Algorithm**?

Is there some other lower bounding method that can lead to an improved approximation guarantee for **VERTEX COVER**?

# A Better Analysis?

## A Better Analysis?

Consider the infinite family of instances given by the complete bipartite graphs  $K_{n,n}$ .



When run on  $K_{n,n}$ , Algorithm will pick all  $2n$  vertices, whereas picking one side of the bipartition gives a cover of size  $n$ .



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Tight examples for an approximation algorithm give critical insight into the functioning of the algorithm.

They have often led to ideas for obtaining algorithms with **improved guarantees**.

# A Better Guarantee?

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The lower bound, of size of a **maximal matching**, is half the size of an optimal **vertex cover** for the following infinite family of instances. Consider the **complete graph**  $K_n$ , where  $n$  is odd. The size of any maximal matching is  $(n - 1)/2$ , whereas the size of an optimal cover is  $n - 1$ .

# A Better Algorithm?

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Still Open!





## SET COVER

Given a **universe**  $U$  of  $n$  elements, a **collection** of subsets of  $U$ ,  $\mathcal{S} = \{S_1, \dots, S_k\}$ , and a **cost function**  $c: \mathcal{S} \rightarrow \mathbb{Q}^+$ , find a **minimum cost sub-collection** of  $\mathcal{S}$  that covers all elements of  $U$ .

The special case, in which all subsets are of unit cost, will be called the **cardinality set cover** problem.

## Some Remarks

Define the **frequency**  $f$  of an element to be the number of sets it is in.

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The special case of **set cover** with  $f = 2$  is essentially the **vertex cover** problem, for which we gave a factor  $2$  approximation algorithm.



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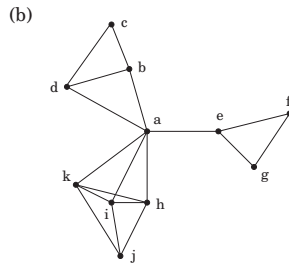
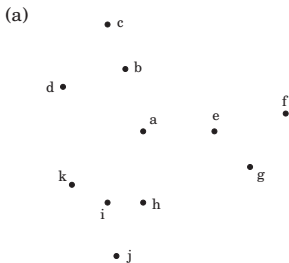
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**Q:** What is the minimum number of schools needed?



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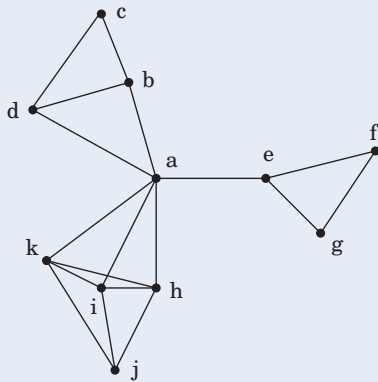
- For each town  $x$ , let  $S_x$  be the set of towns within 30 miles of it.
- A school at  $x$  will essentially “cover” these other towns.
- The question is then, how many sets  $S_x$  must be picked in order to cover all the towns in the county?



## SET COVER

- **Input:** A set of elements  $B$ , sets  $S_1, \dots, S_m \subseteq B$
- **Output:** A selection of the  $S_i$  whose union is  $B$ .
- **Cost:** Number of sets picked.

# The Example



# Performance Ratio

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which by repeated application implies

$$n_t \leq n_0 \left(1 - \frac{1}{OPT}\right)^t$$

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At  $t = \ln n \cdot OPT$ , therefore,  $n_t$  is strictly less than  $n e^{-\ln n} = 1$ , which means no elements remain to be covered.



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When a set  $S$  is picked, we can think of its **cost** being distributed equally among the new elements covered, to set their prices.

## Greedy Algorithm

- 1  $C \leftarrow \emptyset$ .
- 2 While  $C \neq U$  do
  - Find the most cost-effective set in the current iteration, say  $S$ .
  - Let  $\alpha = \frac{c(S)}{|S-C|}$ , i.e., the cost-effectiveness of  $S$ .
  - Pick  $S$ , and for each  $e \in S - C$ , set  $price(e) = \alpha$ .
  - $C \leftarrow C \cup S$ .
- 3 Output the picked sets.

## Lemma

Number the elements of  $U$  in the order in which they were covered by the algorithm, resolving ties arbitrarily. Let  $e_1, \dots, e_n$  be this numbering.

For each  $k \in \{1, \dots, n\}$ ,

$$\text{price}(e_k) \leq \text{OPT}/(n - k + 1)$$

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In the iteration in which element  $e_k$  was covered,  $\bar{C}$  contained **at least**  $n - k + 1$  elements.

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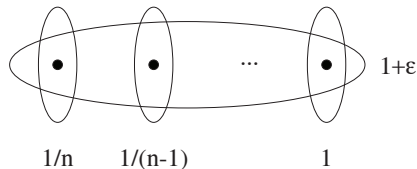
## Theorem

The greedy algorithm is an  $H_n$  factor approximation algorithm for the minimum set cover problem, where

$$H_n = 1 + \frac{1}{2} + \dots + \frac{1}{n}$$

## A Tight Example

The following is a tight example



When run on this instance the greedy algorithm outputs the cover consisting of the  $n$  singleton sets, since in each iteration some singleton is the most cost-effective set. Thus, the algorithm outputs a cover of cost

$$= \frac{1}{n} + \frac{1}{n-1} + \dots + 1 = H_n$$

On the other hand, the optimal cover has a cost of  $1 + \epsilon$ .

## Layering

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The idea in layering is to decompose the **given weight function** on vertices into convenient functions, called **degree-weighted**, on a nested sequence of subgraphs of  $G$ .



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### Lemma

In **VERTEX COVER**, let  $\omega : V \rightarrow \mathbb{Q}^+$  be a **degree-weighted** function. Then

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Since  $U$  covers all the edges,  $\sum_{v \in U} \text{deg}(v) \geq |E|$ .

Therefore,  $\omega(U) \geq c|E|$ . Since  $\sum_{v \in V} \text{deg}(v) = 2|E|$ ,  $\omega(V) = 2c|E|$ . The lemma follows.



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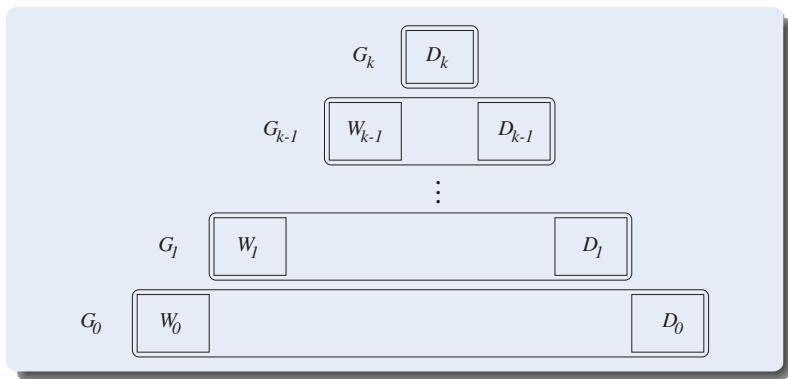
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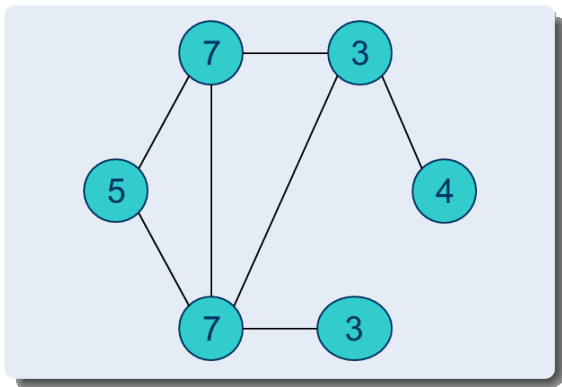
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- 6 Let  $G_{i+1}$  be the graph induced by  $V_i - (D_i \cup W_i).$  Increase  $i$  by 1 and goto step 2 until  $G_i$  is empty graph.

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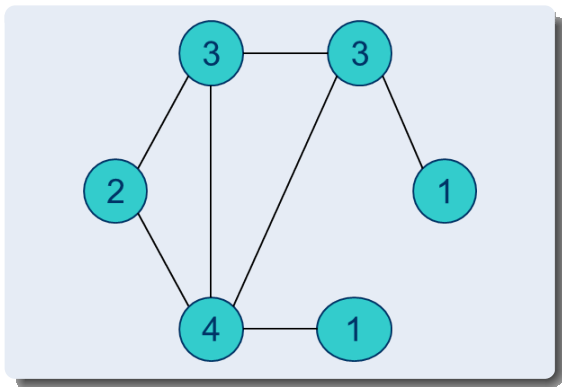




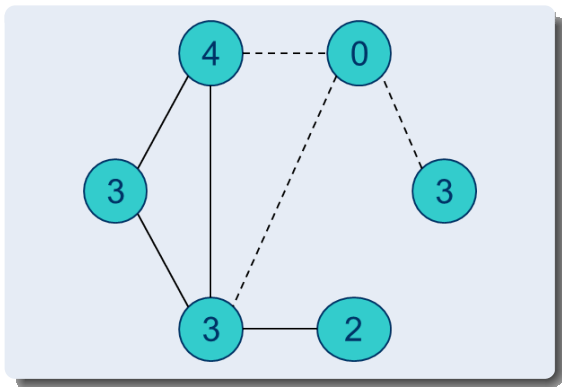
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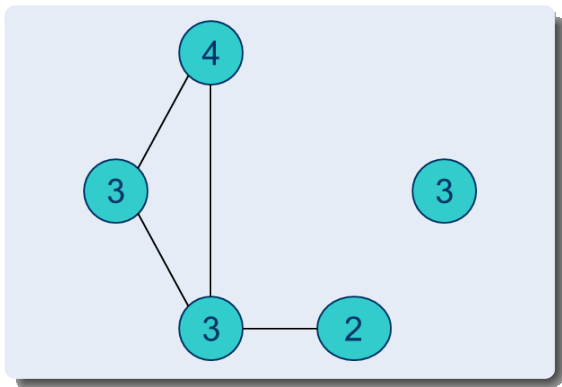
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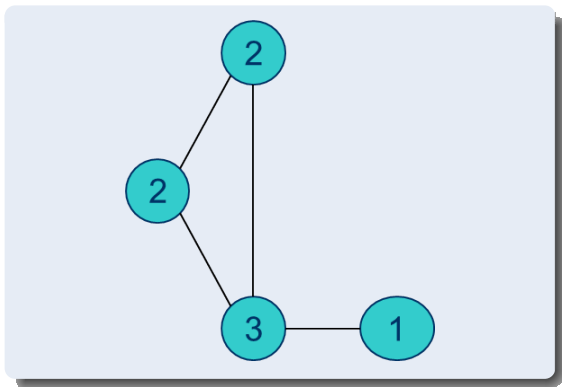
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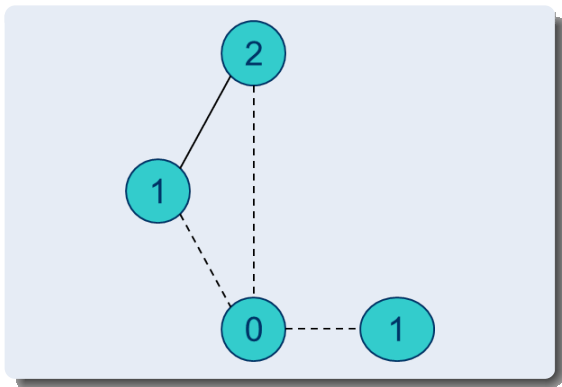
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Assume  $i \leq j$ , then  $(u, v)$  is in  $G_i$  contradicting the fact that  $u$  is of *degree zero*.

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For  $v \in V - C$ , if  $v \in D_j$ , then

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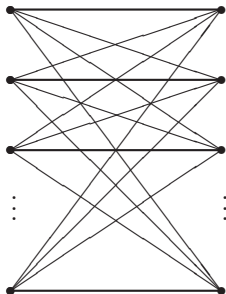
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Therefore,

$$\omega(C) = \sum_{i=0}^{k-1} t_i(C \cap G_i) \leq 2 \sum_{i=0}^{k-1} t_i(C^* \cap G_i) \leq 2 \cdot \omega(C^*)$$

## A Tight Example

A tight example is provided by the family of **complete bipartite graphs**,  $K_{n,n}$ , with all vertices of **unit weight**. The **layering algorithm** will pick all  $2n$  vertices of  $K_{n,n}$  in the cover, whereas the **optimal cover** picks only one side of the bipartition.



## Referred Materials

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Content of this lecture comes from Chapter 1 and 2 in [Vaz04].

Suggest to read the rest part of Chapter 1 and 2 in [Vaz04].