# Sets, relations and functions 

## ©c) 03

Huan Long
Shanghai Jiao Tong University

## Basic set theory

## Relation

## Function

## Prief Historiy of Set Theoriy

\% Georg Cantor(1845-1918)
-German mathematician

- Founder of set theory
so Bertrand Russell(1872-1970)
-British philosopher, Iogician, mathematician, historian, and social critic.
m Ernst Zermelo(1871-1953)
-German mathematician, foundations of mathematics and hence on philosophy
s David Hilbert (1862-1943)
- German mathematician, one of the most influential and universal mathematicians of the 19th and early 20th centuries.
so Kurt Gödel(1906-1978)
-Austrian American logician, mathematician, and philosopher. ZFC not $\vdash_{\imath} \mathrm{CH}$.
so
Paul Cohen(1934-2007)
-American mathematician, 1963: ZFC not $\vdash \mathrm{CH}, \mathrm{AC}$.


| Problem | Brief explanation | Status 0 |
| :---: | :---: | :---: |
| 15t | The continuum hypothesis (that is, there is no set whose cardinality is strictly between that of the integers and that of the real numbers) | Proven to be impossible to prove or disprove within Zermelo-Fraenkel set theory with or without the Axiom of Choice (provided Zermelo-Fraenkel set theory is consistent, ie., it does not contain a contradiction). There is no consensus on whether this is a solution to the problem. |
| 2nd | Prove that the axioms of arithmetic are consistent. | There is no consensus on whether results of Gödel and Gentzen give a solution to the problem as stated by Hilbert. Gödel's second incompleteness theorem, proved in 1931, shows that no proof of its consistency can be carried out within arithmetic itself. Gentzen proved in 1838 that the consistency of arithmetic follows from the well-foundedness of the ordinal \&. |
| 3rd | Given any two polyhedra of equal volume, is it always possible to cut the first into fintely many polyhedral pieces that can be reassembled to yield the second? | Resolved. Result: no, proved using Dehn invariants. |
| 4th | Construct all metrics where lines are geodesics. | Too vague to be stated resolved or not. ${ }^{\text {/2] }}$ |
| 5th | Are continuous groups automatically differential groups? | Resolved by Andrew Gleason, depending on how the original statement is interpreted. If, however, it is understood as an equivalent of the Hilbert-Smith conjecture, it is still unsolved. |
| 6th | Mathematical treatment of the axioms of physics | Partially resolved depending on how the original statement is interpreted. ${ }^{133}$ In particular, in a further explanation Hilbert proposed two specific problems: (i) axiomatic treatment of probability with limit theorems for foundation of statistical physics and (ii) the rigorous theory of limiting processes 'which lead from the atomistic view to the laws of motion of continua." Kolmogorov's axiomatics (1833) is now accepted as standard. There is some success on the way from the 'atomistic view to the laws of motion of continua." ${ }^{\text {. } 14 \mid}$ |
| 7th | Is $a^{0}$ transcendental, for algebraic $a \neq 0,1$ and irrational algebraic $b$ ? | Resolved. Resul: yes, illustrated by Gelfond's theorem or the Gelfond-Schneider theorem. |
| 8th | The Riemann hypothesis ("the real part of any non-trivial zero of the Riemann zeta function is $\%_{2}$ ") and other prime number problems, among them Goldbach's conjecture and the twin prime conjecture | Unresolved. |
| 9th | Find the most general law of the reciprocity theorem in any algebraic number field. | Partially resolved. ${ }^{\text {p/ }}$ / |
| 10th | Find an algorithm to determine whether a given polynomial Diophantine equation with integer coefficients has an integer solution. | Resolved. Result impossible, Matiyasevich's theorem implies that there is no such algorithm. |
| 11th | Solving quadratic forms with algebraic numerical coefficients. | Partially resolved. ${ }^{\text {Ps/ }}$ |
| 12th | Extend the Kronecker-Weber theorem on abelian extensions of the rational numbers to any base number field. | Unresolved. |
| 13th | Solve 7 -th degree equation using algebraic (variant continuous) functions of two parameters. | The problem was partially solved by Vadimir Anold based on work by Andrei Koimogorov. ${ }^{\text {1a4\| }}$ |
| 14th | Is the ring of invariants of an algebraic group acting on a polynomial ring amays finitly generated? | Resolved. Result no, a counterexample was constructed by Masayoshi Nagata. |
| 15th | Rigorous foundation of Schubert's enumerative calculus. | Parrially resolved. |
| 18th | Describe relative postions of ovals originating from a real algebraic curve and as limit cycles of a polynomial vector field on the plane. | Unresolved, even for algebraic curves of degree 8. |
| 17th | Express a nonnegative rational function as quotient of sums of squares. | Resolved. Result: yes, due to Emil Artin. Moreover, an upper limit was established for the number of square terms necessary. |
| 18th | (a) Is there a polyhedron that admits only an anisohedral tiling in three dimensions? <br> (b) What is the densest sphere packing? | (a) Resolved. Result yes (by Karl Reinhardt). <br> (b) Widely believed to be resolved, by computer--assisted proof (by Thomas Callister Hales). Result: Highest density achieved by close packings, each with density approximately $74 \%$, such as face-centered cubic close packing and hexagonal close packing. ${ }^{\text {.24 }}$ |
| 19th | Are the solutions of regular problems in the calculus of variations always necessarily analytic? | Resolved. Result: yes, proven by Ennio de Giorgi and, independenty and using different methods, by John Forbes Nash. |
| 20th | Do all variational problems with certain boundary condtions have solutions? | Resolved. A significant topic of research throughout the 20th century, culminating in solutions for the non-linear case. |
| 21st | Proof of the existence of inear differential equations having a prescribed monodromic group | Partially resolved. Result: Yes, no, open depending on more exact formulations of the problem. |
| 22 nd | Uniformization of analytic relations by means of automorphic functions | Resolved. |
| 23rd | Futher development of the calculus of varistions | Too vague to be stated resolved or not. |

## What is a sel?

- By Georg Cantorin 1870s:


## $A$ set is an unordered collection of objects.

- The objects are called the elements, or members, of the set. A set is said to contain its elements.
- Notation: $a \in A$
- Meaning that: $a$ is an element of the set A, or, Set A contains $a$.
- Important:
- Duplicates do not matter.
- Order does not matter.


## Basic notions

$s \mathbf{a} \in A \quad a$ is an element of the set $A$.
so $\mathbf{a} \notin A \quad a$ is NOT an element of the set A.
so Set of sets $\{\{a, b\},\{1,5.2\}, k\}$
so $\varnothing$ the empty set, or the null set, is set that has no elements.
$s A \subseteq B$ subset relation. Each element of $A$ is also an element of $B$.
so $A=B$ equal relation. $A \subseteq B$ and $B \subseteq A$.
\& $A \neq B$
so $A \subset B$ strict subset relation. If $A \subseteq B$ and $A \neq B$
so $|\mathrm{A}|$ cardinality of a set, or the number of distinct elements.
so Venn Diagram


## Rxamples

s $a \in\{a, e i, o, u\}$
so $a \notin\{\{a\}\}$
$\infty \varnothing \notin \emptyset$
so $\varnothing \in\{\varnothing\} \in\{\{\varnothing\}\}$
so $\{3,4,5\}=\{5,4,3,4\}$
s $\varnothing \varnothing \subseteq$
$\infty \varnothing \subset\{\varnothing\}$
$\infty S \subseteq S$
so $|\{3,3,4,\{2,3\},\{1,2,\{f\}\}\}|=4$

Spring 2024

# Set Operations 

soUnion<br>solntersection<br>mDifference<br>sComplement<br>\&Symmetric difference<br>soPower set

## Union

so Definition Let $A$ and $B$ be sets. The union of the sets $A$ and $B$, denoted by $A \cup B$, is the set that contains those elements that are either in $A$ or in $B$, or both.

$$
A \cup B=\{x \mid x \in A \text { or } x \in B\}
$$

so Example: $\{1,3,5\} \cup\{1,2,3\}=\{1,2,3,5\}$
so Venn Diagram representation


## Intersection

$s$ Definition Let $A$ and $B$ be sets. The intersection of the sets $A$ and $B$, denoted by $A \cap B$, is the set that containing those elements in both $A$ and $B$.

$$
A \cap B=\{x \mid x \in A \text { and } x \in B\}
$$

so Example: $\{1,3,5\} \cap\{1,2,3\}=\{1,3\}$
so Venn Diagram Representation


## Difiference

$\wp$ Definition Let $A$ and $B$ be sets. The difference of the sets $A$ and $B$, denoted by $A-B$, is the set that containing those elements in $A$ but not in $B$.

$$
A-B=\{x \mid x \in A \text { but } x \notin B\}=A \cap \bar{B}
$$

so Example: $\{1,3,5\}-\{1,2,3\}=\{5\}$
m Venn Diagram Representation


## Complement

$s \infty$ Definition Let $U$ be the universal set. The complement of the sets A , denoted by $\bar{A}$ or $-A$, is the complement of with respect to $U$.

$$
\bar{A}=\{x \mid x \notin A\}=U-A
$$

so Example: $-\mathrm{E}=0$
so Venn Diagram Representation


## Symmeticic difiference

so Definition Let $A$ and $B$ be sets. The symmetric difference of $A$ and $B$, denoted by $A \oplus B$, is the set containing those elements in either $A$ or $B$, but not in their intersection.

$$
\begin{aligned}
& A \oplus B=\{x \mid(x \in A \vee x \in B) \wedge x \notin A \cap B\} \\
= & (A-B) \cup(B-A)
\end{aligned}
$$

m Venn Diagram: $A \oplus B$ $A \oplus B \oplus c$


## Symmetric difiference

so Definition Let $A$ and $B$ be sets. The symmetric difference of $A$ and $B$, denoted by $A \oplus B$, is the set containing those elements in either A or B , but not in their intersection.

$$
\begin{aligned}
& A \oplus B=\{x \mid(x \in A \vee x \in B) \wedge x \notin A \cap B\} \\
= & (A-B) \cup(B-A)
\end{aligned}
$$

m Venn Diagram: $A \oplus B$
$A \oplus B \oplus c$


## The Power Set

$s$ Many problems involves testing all combinations of elements of a set to see if they satisfy some property. To consider all such combinations of elements of a set $S$, we build a new set that has its members all the subsets of $S$.
so Definition: Given a set $S$, the power set of $S$ is the set of all subsets of the set $S$. The power set of $S$ is denoted by $P(S)$ or §os.
so Example:

- $P(\{0,1,2\})=\{\phi,\{0\},\{1\},\{2\},\{0,1\},\{0,2\},\{1,2\},\{0,1,2\}\}$
- $P(\varnothing)=\{\varnothing\}$
- $P(\{\varnothing\})=\{\varnothing,\{\varnothing\}\}$


## Set Identitiocs

1. Identity laws

$$
A \cup \emptyset=A \quad A \cap U=A
$$

2. Domination laws

$$
A \cup U=U \quad A \cap \emptyset=\emptyset
$$

3. Idempotent laws

$$
A \cup A=A \quad A \cap A=A
$$

## Set Identities (Conti.)

4. Complementation Iaw

$$
\overline{(\bar{A})}=A
$$

5. Commutative Iaws

$$
\begin{aligned}
& A \cup B=B \cup A \\
& A \cap B=B \cap A
\end{aligned}
$$

6. Associative laws

$$
\begin{aligned}
& A \cup(B \cup C)=(A \cup B) \cup C \\
& A \cap(B \cap C)=(A \cap B) \cap C
\end{aligned}
$$

## Set Identitices (Cont.)

7. Distributive laws

$$
\begin{aligned}
& A \cap(B \cup C)=(A \cap B) \cup(A \cap C) \\
& A \cup(B \cap C)=(A \cup B) \cap(A \cup C)
\end{aligned}
$$

8. De Morgan's Iaws

$$
\overline{A \cup B}=\bar{A} \cap \bar{B}
$$

$$
\overline{A \cap B}=\bar{A} \cup \bar{B}
$$

## Set Identitioes (Cont.)

9. Absorption laws

$$
\begin{aligned}
& A \cup(A \cap B)=A \\
& A \cap(A \cup B)=A
\end{aligned}
$$

10. Complement laws

$$
\begin{aligned}
& A \cup \bar{A}=U \\
& A \cap \bar{A}=\varnothing
\end{aligned}
$$

## Rxample

Theorem 1 (De Morgan's Law). $\overline{S \cap T}=\bar{S} \cup \bar{T}$ or $S \cap T=\bar{S} \cup \bar{T}$
Proof. (Proved by Venn Diagram)

$$
\begin{aligned}
x \in \overline{S \cap T} & \Rightarrow x \notin S \cap T \\
& \Rightarrow \text { either } x \notin S \text { or } x \notin T \\
& \Rightarrow \text { either } x \in \bar{S} \text { or } x \in \bar{T} \\
& \Rightarrow x \in \bar{S} \cup \bar{T} \\
x \in \bar{S} \cup \bar{T} & \Rightarrow \text { reverse steps }
\end{aligned}
$$

## Basic set theory

## Relation

## Function

## Oridered Pairs

$s$ In set theory $\{1,2\}=\{2,1\}$
$\infty$ What if we need the object $<1,2>$ that will encode more information:

- 1 is the first component
- 2 is the second component
so Generally, we say

$$
\langle x, y\rangle=\langle u, v\rangle \text { iff } x=u \wedge y=v
$$

## Carticsian Product

$\propto A \times B=\{\langle x, y\rangle \mid x \in A \wedge y \in B\}$ is the Cartesian product of set $A$ and set $B$. mExample

$$
\begin{aligned}
& \mathrm{A}=\{1,2\} \quad \mathrm{B}=\{\mathrm{a}, \mathrm{~b}, \mathrm{c}\} \\
& \mathrm{A} \times \mathrm{B}=\{ <1, \mathrm{a}>,<1, \mathrm{~b}>,<1, \mathrm{c}> \\
&<2, \mathrm{a}>,<2, \mathrm{~b}>,<2, \mathrm{c}>\}
\end{aligned}
$$

## Relation

\& D Definition A relation is a set of ordered pairs.
$\&$ Examples

- $<=\{\langle x, y\rangle \in R \times R \mid x$ is less than $y\}$
- $M=\{\langle x, y\rangle \in$ People $\times$ People $\mid x$ is married to $y\}$


A relation as a subset of the plane

## More about the binarly relation

## Let $R$ denote any binary relation on a set $x$, we say:

$\infty R$ is reflexive, if $(\forall a \in x)(a R a)$;
$\infty R$ is symmetric, if $(\forall a, b \in x)(a R b \rightarrow b R a)$;
$\infty R$ is transitive, if $(\forall a, b, c \in x)[(a R b \wedge b R c) \rightarrow(a R c)]$;

## Fquivalence relation

$\varepsilon_{0}$ Definition $R$ is an equivalence relation on $A$ iff $R$ is a binary relation on $A$ that is

- Reflexive
- Symmetric
- Transitive


## Partition

so Definition A partition $\pi$ of a set $A$ is a set of nonempty subsets of $A$ that is disjoint and exhaustive. i.e.
(a) no two different sets in $\pi$ have any common elements, and
(b) each element of $A$ is in some set in $\pi$.

## Fquivalence class

sol If $R$ is an equivalence relation on $A$, then the quotient set (equivalence class) $A / R$ is defined as

$$
\mathrm{A} / \mathrm{R}=\left\{[\mathrm{x}]_{R} \mid \in \mathrm{A}\right\}
$$

Where $A / R$ is read as "A modulo $R$ "
so The natural map (or canonical map) $\alpha: A \rightarrow A / R$ defined by

$$
\alpha(\mathrm{x})=[\mathrm{x}]_{R}
$$

sos Theorem Assume that $R$ is an equivalence relation on $A$. Then the set $\left\{[\mathrm{x}]_{R} \mid \mathrm{x} \in \mathrm{A}\right\}$ of all equivalence classes is a partition of $A$.


## fixamples

so Let $\omega=\{0,1,2, \ldots\}$; and $m \sim n \Leftrightarrow m-n$ is divisible by 6 . Then $\sim$ is an equivalence relation on $\omega$. The quotient set $\omega / \sim$ has six members:

$$
\begin{aligned}
& {[0]=\{0,6,12, \ldots\},} \\
& {[1]=\{1,7,13, \ldots\},}
\end{aligned}
$$

$$
[5]=\{5,11,17, \ldots\}
$$

so Clique (with self-circles on each node) : a graph in which every edge is presented. Take the existence of edge as a relation. Then the equivalence class decided by such relation over the graph would be clique.

## Ordering relations

so Linear order/total order

- transitive
- trichotomy
$s$ Partial order
- reflexive
- anti-symmetric
- transitive
so Well order
- total order
- every non-empty subset of S has a least element in this ordering.


## Basic set theory

## Relation

## Function

## Punction

so Definition A function is a relation $F$ such that for each $x$ in dom $F$ there is only one $y$ such that $x F y$. And $y$ is called the value of $F$ at $x$.
so Notation $F(x)=y$
so Example $f(x)=x^{2} \quad f: R \rightarrow R, f(2)=4, f(3)=9$, etc.
so Composition $(f \circ g)(x)=f(g(x))$
so Inverse The inverse of $F$ is the set

$$
F^{-1}=\{<u, v>\mid v F u\}
$$

$F^{-1}$ is not necessarily a function (why?)

## Special functions

so We say that $F$ is a function from $A$ into $B$ or that $F$ maps $A$ into $B$ (written $F: A \rightarrow B$ ) iff $F$ is a function, dom $F=A$ and ran $F \subseteq B$.

- If, in addition, ran $F=B$, then $F$ is a function from $A$ onto $B$. $F$ is also named a surjective function.
- If, in addition, for any $x \in d o m F, y \in d o m F$, with $x \neq y$, $F(x) \neq F(y)$, then $F$ is an injective function. or one-toone (or single-rooted).
- $F$ is bijective function : $f$ is surjective and injective.


## References

so Main References
－Herbert B．Enderton，Elements of Set Theory，ACADEMIC PRESS， 1977
－Yiannis Moschovakis，Notes on Set Theory（Second Edition），Springer， 2005
－Keith Devlin，The Joy of Sets：Fundamentals of Contemporary Set Theory，Springer－Verlag， 1993
－Kenneth H．Rosen，Discrete Mathematics and Its Applications（Sixth Edition）， 2007
－沈恩绍，集论与逻辑，科学出版社，（集合论部分）， 2001

## Thank you

8C)
$\cos$

Hilbert's twenty-three problems are

| Problem | Brief explanation |
| :---: | :---: |
| 1st | The continuum hypothesis (that is, there is no set whose cardinality is strictly between that of the integers and that of the real numbers) |
| 2nd | Prove that the axioms of arithmetic are consistent. |
| 3rd | Given any two polyhedra of equal volume, is it always possible to cut the first into finitely many polyhedral pieces which can be reassembled to yield the second? |
| 4th | Construct all metrics where lines are geodesics. |
| 5th | Are continuous groups automatically differential groups? |
| 6th | Mathematical treatment of the axioms of physics |
| 7th | Is $a^{\circ}$ transcendental, for algebraic $a \neq 0,1$ and irrational algebraic $b$ ? |
| 8th | The Riemann hypothesis ("the real part of any non-trivisl zero of the Riemann zeta function is $1 / 2$ ") and other prime number problems, among them Goldbach's conjecture and the twin prime conjecture |
| 9th | Find the most general law of the reciprocity theorem in any algebraic number field. |
| 10th | Find an algorithm to determine whether a given polynomial Diophantine equation with integer coefficients has an integer solution. |
| 11th | Solving quadratic forms with algebraic numerical coefficients. |
| 12th | Extend the Kronecker-Weber theorem on sbelian extensions of the rational numbers to any base number field. |
| 13th | Solve 7-th degree equation using continuous functions of two parameters. |
| 14th | Is the ring of invariants of an algebraic group acting on a polynomial ring always finitely generated? |
| 15th | Rigorous foundation of Schubert's enumerative calculus. |
| 16th | Describe relative positions of ovals originating from a real algebraic curve and as limit cycles of a polynomial vector field on the plane. |
| 17th | Express a nonnegative rational function as quotient of sums of squares. |
| 18th | (a) Is there a polyhedron which admits only an anisohedral filing in three dimensions? <br> (b) What is the densest sphere packing? |
| 19th | Are the solutions of regular problems in the calculus of variations always necessarily analytic? |
| 20th | Do all variational problems with certain boundary conditions have solutions? |
| 21st | Proof of the existence of linear differential equations having a prescribed monodromic group |
| 22nd | Uniformization of analytic relations by means of automorphic functions |
| 23rd | Further development of the calculus of variations |

