

# IV. Turing Machine

Yuxi Fu

BASICS, Shanghai Jiao Tong University

# Alan Turing

**Alan Turing** (23Jun.1912-7Jun.1954), an English student of Church, introduced a machine model for effective calculation in

“On Computable Numbers, with an Application to the Entscheidungsproblem”,

Proc. of the London Mathematical Society, 42:230-265, 1936.

Turing Machine, Halting Problem, Turing Test



# Motivation

What are necessary for a machine to calculate a function?

- ▶ The machine should be able to interpret numbers;
- ▶ The machine must be able to operate and manipulate numbers according to a set of predefined instructions;

and

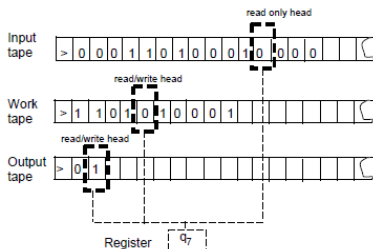
- ▶ The input number has to be stored in an accessible place;
- ▶ There should be an accessible place for the machine to store intermediate results;
- ▶ The output number has to be put in an accessible place.

# Turing Machine

A  $k$ -tape Turing Machine  $\mathbb{M}$  has  $k$ -tapes such that

- ▶ The first tape is the read-only **input tape**.
- ▶ The other  $k - 1$  tapes are the read/write **work tapes**.
- ▶ The  $k$ -th tape is also used as the **output tape**.

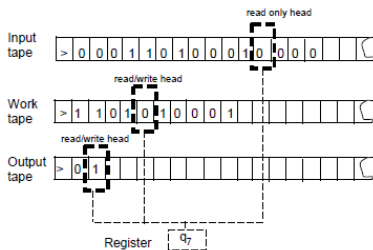
Every tape comes with a read/write **head**.



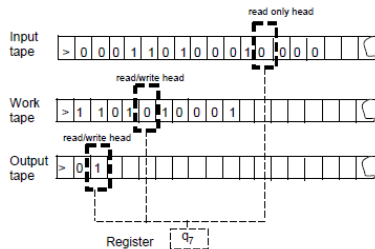
# Turing Machine

The machine is described by a tuple  $(\Gamma, Q, \delta)$  containing

- ▶ A finite set  $\Gamma$ , called **alphabet**, of symbols. It contains a blank symbol  $\square$ , a start symbol  $\triangleright$ , and the digits 0 and 1.
- ▶ A finite set  $Q$  of **states**. It contains a start state  $q_{\text{start}}$  and a halting state  $q_{\text{halt}}$ .
- ▶ A **transition function**  $\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^{k-1} \times \{L, S, R\}^k$ , describing the rules of each computation step.



# Computation and Configuration



Computation, configuration, initial/final configuration

## A TM for the Palindrome Problem

$Q = \{q_s, q_h, q_c, q_l, q_t\}$ ;  $\Gamma = \{\square, \triangleright, 0, 1\}$ ; two work tapes.

$\langle q_s, \triangleright, \triangleright, \triangleright \rangle \rightarrow \langle q_c, \triangleright, \triangleright, R, R, R \rangle$

$\langle q_c, 0, \square, \square \rangle \rightarrow \langle q_c, 0, \square, R, R, S \rangle$

$\langle q_c, 1, \square, \square \rangle \rightarrow \langle q_c, 1, \square, R, R, S \rangle$

$\langle q_c, \square, \square, \square \rangle \rightarrow \langle q_l, \square, \square, L, S, S \rangle$

$\langle q_l, 0, \square, \square \rangle \rightarrow \langle q_l, \square, \square, L, S, S \rangle$

$\langle q_l, 1, \square, \square \rangle \rightarrow \langle q_l, \square, \square, L, S, S \rangle$

$\langle q_l, \triangleright, \square, \square \rangle \rightarrow \langle q_t, \square, \square, R, L, S \rangle$

$\langle q_t, \square, \triangleright, \square \rangle \rightarrow \langle q_h, \triangleright, 1, S, S, S \rangle$

$\langle q_t, 0, 1, \square \rangle \rightarrow \langle q_h, 1, 0, S, S, S \rangle$

$\langle q_t, 1, 0, \square \rangle \rightarrow \langle q_h, 0, 0, S, S, S \rangle$

$\langle q_t, 0, 0, \square \rangle \rightarrow \langle q_t, 0, \square, R, L, S \rangle$

$\langle q_t, 1, 1, \square \rangle \rightarrow \langle q_t, 1, \square, R, L, S \rangle$

## $\{0, 1, \square, \triangleright\}$ vs. Larger Alphabets

Suppose  $\mathbb{M}$  has  $k$  tapes with the alphabet  $\Gamma$ .

A symbol of  $\mathbb{M}$  is encoded by a string  $\sigma \in \{0, 1\}^*$  of length  $\log |\Gamma|$ .

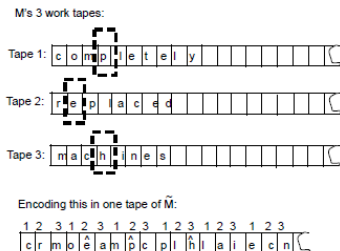
States: A state  $q$  is turned into states  $q, \langle q, \sigma_1^1, \dots, \sigma_1^k \rangle$  where  $|\sigma_1^1| = \dots = |\sigma_1^k| = 1, \dots, \langle q, \sigma_{\log |\Gamma|}^1, \dots, \sigma_{\log |\Gamma|}^k \rangle$  where  $|\sigma_{\log |\Gamma|}^1| = \dots = |\sigma_{\log |\Gamma|}^k| = \log |\Gamma|$ .

A computation step of  $\mathbb{M}$  is simulated in  $\tilde{\mathbb{M}}$  by  $\log |\Gamma|$  steps to read,  $\log |\Gamma|$  steps to write, and  $\log |\Gamma|$  steps to relocate the heads.



# One Tape vs. Many Tapes

The basic idea is to interleave  $k$  tapes into one tape.  
The first  $n + 1$  cells are reserved for the input.



Every symbol  $a$  of  $\mathbb{M}$  is turned into two symbols  $a, \hat{a}$  in  $\tilde{\mathbb{M}}$ , with  $\hat{a}$  used to indicate head position.

## One Tape vs. Many Tapes

The machine  $\tilde{M}$  copies the input bits to the first imaginary tape. The head then moves left to the  $(n+2)$ -th cell.

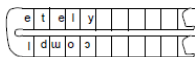
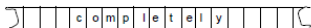
Sweeping the tape cells from left to right. Record in the register the  $k$  symbols marked with the hat  $\hat{\quad}$ .

Sweeping the tape cells from right to left to update using the transitions of  $M$ .

# One Unidirectional Tape vs. Bidirectional Tape

The idea is that  $\tilde{M}$  makes use of the alphabet  $\Gamma \times \Gamma$ .

$M$ 's tape is infinite in both directions:



$\tilde{M}$  uses a larger alphabet to represent it on a standard tape:



Every state  $q$  of  $M$  is turned into  $\bar{q}$  and  $\underline{q}$ .

Turing Machine Model is extremely robust.

# Function Definable by Turing Machine

A  $k$ -ary function  $f : \underbrace{\{0, 1\}^* \times \dots \times \{0, 1\}^*}_k \rightarrow \{0, 1\}^*$  is

**Turing definable** if there is a TM  $\mathbb{M}$  such that whenever  $k$  binary numbers  $n_1, \dots, n_k$  are written on the input tape, the following statements are valid:

- ▶ If  $f(n_1, \dots, n_k)$  is defined, then  $\mathbb{M}$  terminates in a configuration with  $f(n_1, \dots, n_k)$  written on the output tape.
- ▶ If  $f(n_1, \dots, n_k)$  is undefined, then the execution of  $\mathbb{M}$  is infinite.

# Lambda Definability vs. Turing Definability

**Theorem.** All  $\lambda$ -definable functions are Turing definable.

A. Turing, A.

Computability and  $\lambda$ -definability.

Journal of Symbolic Logic, **2**:153-163, 1937.

# Lambda Definability vs. Turing Definability

The theorem can be proved by designing a Turing Machine for each closed  $\lambda$ -term.

- ▶ The bound variables are treated using de Bruijn notation.
- ▶ The machine uses the leftmost reduction strategy.

A uniform approach that transforms a closed  $\lambda$ -term to a Turing Machine is left as an exercise.

Gödel was reported as saying that Turing machines offer the most convincing formalization of mechanical procedures.

Turing remarked that the  $\lambda$ -calculus is more convenient.

Church pointed out that Turing Machines have the advantage of making the identification with effectiveness in the ordinary sense evident immediately.



*Exercise.* Describe an algorithm that transforms a closed  $\lambda$ -term to a Turing Machine. The machine should meet the specification: Whenever it starts with a closed  $\lambda$ -term written on its input tape, it carries out the leftmost reduction, and stops if the reduction terminates.