

Finite Injury Priority Method

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Post's Problem: is there is a r.e. degree \mathbf{a} such that $\mathbf{0} < \mathbf{a} < \mathbf{0}'$.

It was solved by Friedberg[1957] and Muchnik[1956] independently.

The method used to solve the problem was named **finite injury priority method**.

Finite Injury Priority Method

Recursively enumerate a set $A = \bigcup_s A_s$ to meet certain requirements $\{R_n\}_{n \in \omega}$.

- 1 If $n < m$, then R_n is given priority over R_m .
- 2 Actions take for R_m at some stage s may at a later stage $t > s$ be undone when action is taken for for R_n , $n < m$.
(Injury)
- 3 Finite Injury Property. Each requirement is injured at most finitely often.

1 Low Simple Set

Construct a simple set A s.t. $A' \equiv_T \emptyset'$

2 The Original Friedberg-Muchnik Theorem

Construct two r.e. set A and B such that $A|_T B$

3 Sacks Splitting Theorems

Every nonrecursive r.e set can be split as a disjoint union two incomparable r.e. subsets.

- ▶ $\{e\}_s^A(x) = y$ if $x, y, e < s, s > 0, \phi_e^A(x) = y$ in $< s$ steps and only numbers $z < s$ are used the computation.
- ▶ The **use function** $u(A; e, x, s)$ is $1 +$ the maximum number used the computation if $\{e\}_s^A(y) \downarrow$ and 0 otherwise.

Lemma

Let $\{A_s\}_{s \in \omega}$ be an enumeration such that $A = \bigcup_s A_s$, then

- ▶ If $\{e\}^A(x) = y$, then $\exists s \forall t \geq s \{e\}_t^{A_t}(x) = y$.
- ▶ $\{e\}_s^{A_s}(x) = y$ and let $r = u(A_s, e, x, s)$. If $A_s \upharpoonright r = A \upharpoonright r$ then $\{e\}^A(x) = y$.

Theorem

There is a simple set A such that $A' \equiv_T \emptyset'$.

Remark. The jump operator is not 1:1.

Corollary (Friedberg-Muchnik)

There is a nonrecursive incomplete r.e. degree \mathbf{a} (i.e., $\mathbf{0} < \mathbf{a} < \mathbf{0}'$).

Requirements

It's sufficient to recursively enumerate a coinfinite r.e. set $A = \bigcup_s A_s$ to meet for all e the requirements:

(simplicity) $P_e: W_e \text{ is infinite} \implies W_e \cap A \neq \emptyset.$

(lowness) $N_e: (\exists^\infty s) [\{e\}_e^{A_s}(e) \downarrow] \implies \{e\}^A(e) \downarrow.$

Fact. $\{N_e\}_{e \in \omega}$ implies $A' \leq_T \emptyset'$

$$g(e, s) = \begin{cases} 1 & \text{if } \{e\}_e^{A_s}(e) \downarrow; \\ 0 & \text{otherwise.} \end{cases}$$

$\{N_e\}_{e \in \omega}$ implies $\hat{g}(e) = \lim_s g(e, s)$ exists for all e . But $\hat{g} \leq_T \emptyset'$, and hence $A' \leq_T \emptyset'$.

Strategy to Meet N_e

$$N_e : (\exists^\infty s) [\{e\}_e^{A_s}(e) \downarrow] \implies \{e\}^A(e) \downarrow$$

Given A_s define for all e

(restraint function) $r(e, s) = u(A_s, e, e, s)$.

Observation. If $\{e\}_s^{A_s}(e) \downarrow$ and N_e succeeds in preventing any $x \leq r(e, s)$ from entering A , then $\{e\}^A(e) \downarrow$.

Strategy. Restrain with priority N_e any elements $x \leq r(e, s)$ from entering A_{s+1} .

Such elements can only enter A for the sake of some P_i with stronger priority.

$$N_0 > P_0 > N_1 > P_1 > N_2 > P_2 > \dots$$

Stage $s = 0$. Let $A_0 = \emptyset$.

Stage $s + 1$. Given A_s we have $r(e, s)$ for all e . Choose the least $i \leq s$ such that

$$W_{i,s} \cap A_s = \emptyset; \quad (1)$$

and

$$(\exists x)[x \in W_{i,s} \wedge x > 2i \wedge (\forall e \leq i)[r(e, x) < x]] \quad (2)$$

- ▶ If i exists, chooses the least x satisfying (2), let $A_{s+1} = A_s \cup \{x\}$ and say that P_i receives attention. Hence $W_i \cap A_{s+1} \neq \emptyset$ and P_i is satisfied for all stage $> s + 1$.
- ▶ If i does not exist, let $A_{s+1} = A_s$.

Let $A = \bigcup_s A_s$.

Finite Injury Property

x injury N_e at stage $s + 1$ if $x \in A_{s+1} - A_s$ and $x \leq r(e, s)$.

Defined the **injury set** for N_e as

$$I_e = \{x : (\exists s)[x \in A_{s+1} - A_s \wedge x \leq r(e, s)]\}$$

Lemma (FIP)

$(\forall e) [I_e \text{ is finite}]$.

Lemma

For every e requirement N_e is met and $r(e) = \lim_s r(e, s)$ exists.

Proof.

Fix e . By FIP, choose s_e such that N_e is not injured at any stage $s > s_e$. If $\{e\}_s^{A_s}(e)$ converges for $s > s_e$ then by induction on $t \geq s$, $r(e, t) = r(e, s)$ and $\{e\}_t^{A_t}(e) = \{e\}_s^{A_s}(e)$ for all $t \geq s$. \square

Lemma

For every i , requirement P_i is met.

The Original Friedberg-Muchnik Theorem

Recursively enumerate A and B to meet for all e the requirements:

$$R_{2e} : A \neq \{e\}^B,$$
$$R_{2e+1} : B \neq \{e\}^A.$$

Theorem (Friedberg 1957, Muchnik 1956)

There exist r.e. sets A and B such that $A \not\leq_T B$, and hence $\emptyset <_T A, B <_T \emptyset'$.

Strategy to Meet a Requirement

Attach to R_{2e} a **witness** x not yet enumerated in A and look for a stage $s + 1$ such that

$$e_s^{B_s}(x) \downarrow = 0.$$

If no such stage exists then we do nothing.

If $s + 1$ exists, we say R_{2e} **receive attention** at stage $s + 1$.

- ▶ Enumerate x into A_{s+1} ;
- ▶ Rest the **wall** $r(2e, s + 1) = u(B_s; e, x, s)$;
- ▶ Restrain with priority R_{2e} any numbers $y \leq r(2e, s + 1)$ from later entering B .

Construction of A and B

Stage $s = 0$.

Set $A_0 = B_0 = \emptyset$, $x_e^0 = \langle 0, e \rangle$ and $r(e, 0) = -1$ for all e .

Stage $s + 1$. Requirement R_{2e} requires attention if

$$\{e\}_s^{B_s}(x_{2e}^s) \downarrow = 0 \text{ and } r(2e, s) = -1 \quad (3)$$

and R_{2e+1} require attention if

$$\{e\}_s^{A_s}(x_{2e+1}^s) \downarrow = 0 \text{ and } r(2e + 1, s) = -1 \quad (4)$$

Construction of A and B (cont'd)

Choose the least $i \leq s$ such that R_i requires attention and we say R_i **receive attention** by doing the follows. Suppose that $i = 2e$.

- ▶ Enumerate x_{2e}^s into A_{s+1} and set $x_{2e}^{s+1} = x_{2e}^s$;
- ▶ Reset the **wall** $r(2e, s+1) = u(B_s, e, x_{2e}^s, s)$;
- ▶ For $j < 2e$, set $r(j, s+1) = r(j, s)$ and $x_j^{s+1} = x_j^s$;
- ▶ For $j > 2e$, set $r(j, s+1) = -1$ (R_j may require attention in the future) and let x_j^{s+1} to be

$$\min\{y \in \omega^j : y \notin A_{s+1} \cup B_{s+1} \wedge y > x_j^s \\ \wedge y > \max\{r(k, s+1) : k \leq 2e\}\}$$

If i fails to exist then do nothing.

Remark. R_i can be injured at most $2^i - 1$ times.

Lemma

For every i , R_i receives attention at most finitely often and is eventually satisfied.

Proof.

Fix i and assume by induction that the Lemma holds for all $j < i$. Choose s minimal so that no R_j , $j < i$ received attention at a stage $t \geq s$.

- 1 $\forall t \geq s$, $x_i^t = x_i^s = x_i$, and $x_i \notin A_s \cup B_s$.
- 2 If R_i receive attention at some stage $t \geq s$, then by assumption R_i will not be injured thereafter, and hence R_i is satisfied.
- 3 If R_i never receive attention after stage s , then $A(x_i) = 0$ and it is not the case that $\{e\}^D(x_i) \downarrow = 0$. ($D = B$ if i is even and $D = A$ if i is odd.)



Sacks Theorem

Given a nonrecursive r.e. set C , recursively enumerate a coinfinite set $A = \bigcup_s A_s$ that meets the requirements

$$N_e : \quad C \neq \{e\}^A;$$

$$P_e : \quad W_e \text{ is finite} \implies W_e \cap A \neq \emptyset.$$

Theorem (Sacks)

For every nonrecursive r.e. set C there is a simple set A such that $C \not\leq_T A$ (and hence $\emptyset <_T A <_T \emptyset'$).

Strategy to Meet N_e

Sacks's method for constructing A to meet N_e of the form $C \neq \{e\}^A$ is to **preserve agreement** between C_s and $\{e\}_s^{A_s}$ rather than disagreement as in the Friedberg strategy.

Sufficient preservation of agreement will guarantee that if $C = \{e\}^A$ then C is recursive.

Sacks's Construction

Stage $s = 0$. Set $A_0 = \emptyset$.

Stage $s + 1$. Given A_s , defined for all e

length function:

$$l(e, s) = \max\{x : (\forall y < x)[\{e\}_s^{A_s}(y) \downarrow = C_s(y)]\}$$

restraint function:

$$r(e, s) = \max\{u(A_s; e, x, s) : x \leq l(e, s)\}.$$

P_i requires attention at stage $s + 1$ if $i \leq s$, $W_{i,s} \cap A_s = \emptyset$, and

$$(\exists x)[x \in W_{i,s} \wedge x > 2i \wedge (\forall e \leq i)[r(e, s) < x]]$$

We enumerate in A_{s+1} the least such x for each P_i requires attention at $s + 1$.

Injury Set:

$$I_e = \{x : (\exists s)[x \in A_{s+1} - A_s \wedge x \leq r(e, s)]\}$$

Lemma (FIP)

I_e is finite for all e . (Indeed $|I_e| < e$.)

Lemma

$(\forall e)[C \neq \{e\}^A]$.

Proof.

Assume that $C = \{e\}^A$. Then $\lim_s l(e, s) = \infty$. By FIP we can choose s' such that N_e is never injured after stage s' . We shall recursively compute C contrary to hypothesis.

To compute $C(p)$ for some $p \in \omega$ find the least $s > s'$ such that $l(e, s) > p$. It follows by induction on $t \geq s$ that

$$(\forall t \geq s)[l(e, t) > p \wedge r(e, t) > \max\{u(A_s; e, x, s) : x \leq p\}]$$

and hence $C(p) = \{e\}^A P = \{e\}_s^{A_s}(p)$. □

Lemma

$(\forall e)[\lim_s r(e, s) \text{ exists and is finite }].$

Proof.

Choose $p = (\mu x)[C(x) \neq \{e\}^A(x)]$. Choose s' sufficient large such that for all $s \geq s'$

- 1 N_e is not injured at stage s ;
- 2 $(\forall x \leq p)[\{e\}_s^{A_s}(x) \downarrow = \{e\}^A(x)]$; and
- 3 $(\forall x \leq p)[C_s(x) = C(x)]$.

If $\{e\}_t^{A_t}(p) \downarrow$ for some $t \geq s'$, then $\lim_s r(e, s) = r(e, t)$, otherwise $\lim_s r(e, s) = r(e, s')$. □

Lemma

$(\forall e)[W_e \text{ is infinite} \implies W_e \cap A \neq \emptyset].$

Sacks Splitting Theorem

Theorem (Sacks 1963)

Let B and C be r.e. sets such that C is nonrecursive. Then there exist r.e. sets A_0 and A_1 such that

- 1 $A_0 \cup A_1 = B$ and $A_0 \cap A_1 = \emptyset$;
- 2 $C \not\leq_T A_i$ for $i = 0, 1$; and
- 3 $A_i \leq_T \emptyset'$ for $i = 0, 1$.

Corollary

Let C be a nonrecursive r.e. set. Then there exist low r.e. sets A_0 and A_1 such that $A_0 \mid_T A_1$, $C = A_0 \cup A_1$ and $A_0 \cap A_1 = \emptyset$.

Requirements

Let $\{B_s\}_{s \in \omega}$ and $\{C_s\}_{s \in \omega}$ be recursive enumeration of B and C such that $B_0 = \emptyset$ and $|B_{s+1} - B_s| = 1$ for all s .

We give recursive enumerations $\{A_{i,s}\}_{s \in \omega}$, $i = 0, 1$ satisfying the requirements

$$P : x \in B_{s+1} - B_s \implies [x \in A_{0,s+1} \vee x \in A_{i,s+1}]$$

$$N_{\langle e, i \rangle} : C \neq \{e\}^{A_i} \quad (i = 0, 1)$$

Sacks's Construction

Stage $s = 0$. Define $A_{i,0} = \emptyset$, $i = 0, 1$.

Stage $s + 1$. Given i, s define the recursive function $l^i(e, s)$ and $r^i(e, s)$ as follows

$$l^i(e, s) = \max\{x : (\forall y < x)[\{e\}_s^{A_s^i}(y) \downarrow = C_s(y)]\}$$

$$r^i(e, s) = \max\{u(A_s^i; e, x, s) : x \leq l(e, s)\}.$$

Let $x \in B_{s+1} - B_s$. Choose the $\langle e', i' \rangle$ to be the least $\langle e, i \rangle$ such that $x \leq r^i(e, s)$, and enumerate x in $A_{1-i', s+1}$.

If $\langle e', i' \rangle$ fails to exist, enumerate x into A_0 .

Lemma

For all $i = 0, 1$ and all e

- ▶ I_e^i is finite.
- ▶ $C \neq \{e\}^{A_i}$; and
- ▶ $r^i(e) = \lim_s r^i(e, s)$ exists and is finite.

Lemma

$A_i \leq_T \emptyset'$ for $i = 0, 1$.

Proof.

Define a recursive function g as follows

$$g(e)^{A_i}(y) = \begin{cases} C(0) & \text{if } y = 0 \text{ and } \{e\}^{A_i}(e) \downarrow, \\ \uparrow & \text{otherwise.} \end{cases}$$

$$e \in A_i' \iff \{e\}^{A_i}(e) \downarrow \iff \{g(e)\}^{A_i}(0) = C(0) \iff \lim_s l^i(g(e), s) > 0$$



Thanks.

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