



Design and Analysis of Algorithms (XVI)

Beyond NP: PSPACE

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Remark. Some problems (especially involving 2-player games and AI) defy classification according to **P**, **EXPTIME**, **NP**, and **NP**-complete.

PSPACE Complexity Class

P. Decision problems solvable in polynomial **time**.

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Observation. $P \subseteq PSPACE$.

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- Check each assignment to see if it satisfies all clauses.

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Proof. Consider arbitrary problem $Y \in \text{NP}$.

- Since $Y \leq_P 3\text{-SAT}$, there exists algorithm that solves Y in poly-time plus polynomial number of calls to 3-SAT black box.
- Can implement black box in poly-space.

Show that $\text{Co-NP} \subseteq \text{PSPACE}$

Quantified Satisfiability

QSAT. Let $\Phi(x_1, \dots, x_n)$ be a boolean CNF formula. Is the following propositional formula true?

$$\exists x_1 \forall x_2 \exists x_3 \forall x_4 \dots \forall x_{n-1} \exists x_n \Phi(x_1, \dots, x_n)$$

Intuition. Amy picks truth value for x_1 , then Bob for x_2 , then Amy for x_3 , and so on.

Can Amy satisfy Φ no matter what Bob does?

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No. If Amy sets x_1 false; Bob sets x_2 false; Amy loses;

If Amy sets x_1 true; Bob sets x_2 true; Amy loses.

Quantified satisfiability is in PSPACE

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Proof.

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Proof. Recursively try all possibilities.

- Only need one bit of information from each subproblem.
- Amount of space is proportional to depth of function call stack.

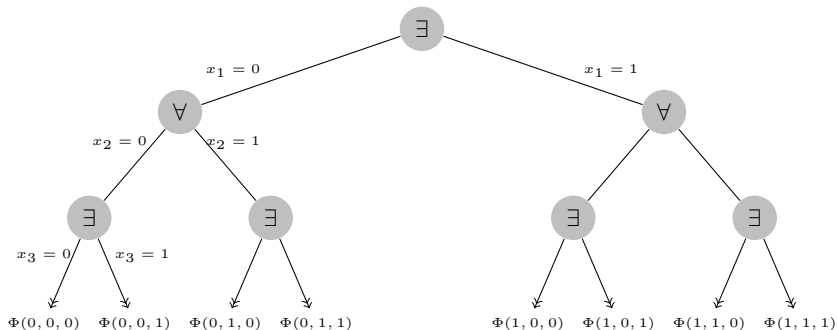
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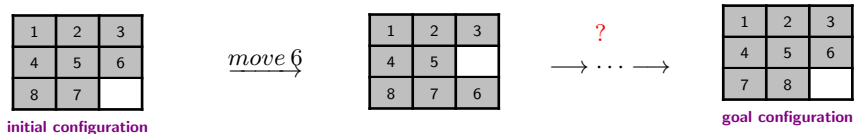
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15-puzzle

8-puzzle, 15-puzzle. [Noyes Chapman 1874]

- Board: 3-by-3 grid of tiles labeled 1–8.
- Legal move: slide neighboring tile into blank (white) square.
- Find sequence of legal moves to transform initial configuration into goal configuration.



Planning problem

Conditions. Set $C = \{C_1, \dots, C_n\}$.

Initial configuration. Subset $c_0 \subseteq C$ of conditions initially satisfied.

Goal configuration. Subset $c^* \subseteq C$ of conditions we seek to satisfy.

Operators. Set $O = \{O_1, \dots, O_k\}$.

- To invoke operator O_i , must satisfy certain prereq conditions.
- After invoking O_i certain conditions become true, and certain conditions become false.

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Examples.

- 15-puzzle.
- Rubik's cube.
- Logistical operations to move people, equipment, and materials.

Planning problem: 8-puzzle

Planning example. Can we solve the 8-puzzle?

Conditions. $C_{ij}, 1 \leq i, j \leq 9$.

Initial state. $c_0 = \{C_{11}, C_{22}, \dots, C_{66}, C_{78}, C_{87}, C_{99}\}$.

Goal state. $c^* = \{C_{11}, C_{22}, \dots, C_{66}, C_{77}, C_{88}, C_{99}\}$.

Operators.

- Precondition to apply $O_i = \{C_{11}, C_{22}, \dots, C_{66}, C_{78}, C_{87}, C_{99}\}$.
- After invoking O_i , conditions C_{89} and C_{97} become *true*.
- After invoking O_i , conditions C_{78} and C_{99} become *false*.

Solution. No solution to 8-puzzle or 15-puzzle!

1	2	3
4	5	6
8	7	9

$\downarrow O_i$

1	2	3
4	5	6
8	9	7

Diversion: Why is 8-puzzle unsolvable?

8-puzzle invariant. Any legal move preserves the parity of the number of pairs of pieces in reverse order (inversions).

3	1	2
4	5	6
8	7	

3 inversions
1-3, 2-3, 7-8



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5 inversions
1-3, 2-3, 7-8, 5-8, 5-6

1	2	3
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7	8	

0 inversions



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1 inversion: 7-8

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Operators. O_1, \dots, O_n .

- To invoke operator O_i , must satisfy C_1, \dots, C_{i-1} .
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Observation. Any solution requires at least $2^n - 1$ steps.

Planning problem is in EXPTIME

Configuration graph G .

- Include node for each of 2^n possible configurations.
- Include an edge from configuration c' to configuration c'' if one of the operators can convert from c' to c'' .

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Note. Configuration graph can have 2^n nodes, and shortest path can be of length $= 2^n - 1$.

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- Suppose there is a path from c_1 to c_2 of length L .
- Path from c_1 to midpoint and from c_2 to midpoint are each $\leq L/2$.
- Enumerate all possible midpoints.
- Apply recursively. Depth of recursion = $\log_2 L$.

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```
boolean hasPath( $c_1, c_2, L$ )  
  if ( $L \leq 1$ ) return correct answer  
  for each configuration  $c'$   
    boolean  $x = \text{hasPath}(c_1, c', L/2)$   
    boolean  $y = \text{hasPath}(c_2, c', L/2)$   
    if ( $x$  and  $y$ ) return true  
  return false
```


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Proof. Previous algorithm solves QSAT in exponential time; and QSAT is PSPACE-complete.

PSPACE-complete



$$P \subseteq NP \subseteq PSPACE \subseteq EXPTIME$$

More PSPACE-complete problems.

- **Competitive facility location.**
- Natural generalizations of games.
 - Othello, Hex, Geography, Rush-Hour, Instant Insanity
 - Shanghai, go-moku, Sokoban
- Given a memory restricted Turing machine, does it terminate in at most k steps?
- Do two regular expressions describe different languages?
- Is it possible to move and rotate complicated object with attachments through an irregularly shaped corridor?
- Is a deadlock state possible within a system of communicating processors?

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yes if $B = 20$;

no if $B = 25$

Claim

COMPETITIVE FACILITY LOCATION \in **PSPACE**-complete.

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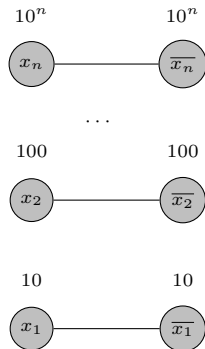
Proof.

- To solve in poly-space, use recursion like Q-SAT, but at each step there are up to n choices instead of 2.
- To show that it's complete, we show that Q-SAT polynomial reduces to it. Given an instance of Q-SAT, we construct an instance of Competitive facility location so that player 2 can force a win iff Q-SAT formula is *true*.

Competitive facility location

Construction. Given instance $\Phi(x_1, \dots, x_n) = C_1 \wedge C_1 \wedge \dots \wedge C_k$ of Q-SAT.

- Include a node for each literal and its negation and connect them.
(at most one of x_i and its negation can be chosen)
- Choose $c \geq k + 2$, and put weight c_i on literal x^i and its negation;
set $B = c^{n-1} + c^{n-3} + \dots + c^4 + c^2 + 1$.
(ensures variables are selected in order x_n, x_{n-1}, \dots, x_1)
- As is, player 2 will lose by 1 unit: $c^{n-1} + c^{n-3} + \dots + c^4 + c^2$.



Competitive facility location

Construction. Given instance $\Phi(x_1, \dots, x_n) = C_1 \wedge C_2 \wedge \dots \wedge C_k$ of Q-SAT.

- Given player 2 one last move on which she can try to win.
- For each clause C_j , add node with value 1 and an edge to each of its literals.
- Player 2 can make last move iff truth assignment defined alternately by the players failed to satisfy some clause.

