

# Fundamentals of Programming Languages III

Finite and Büchi Automata

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# Finite Automata

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**Finite Automaton**  $A = (S, \Sigma, \delta, q_0, F)$ , where

- $S$ : a finite set of states
- $\Sigma$ : alphabet
- $\delta \subseteq S \times (\sigma \cup \{\varepsilon\}) \times S$ : transition
- $q_0 \in S$ : initial state
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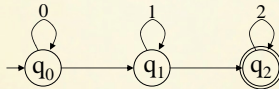
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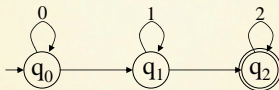
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$L(A)$  is the set of **accepted words**.

# Examples of Automata

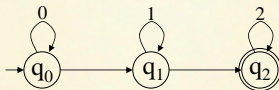


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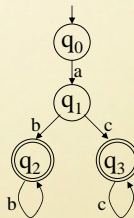
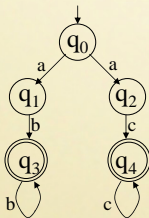


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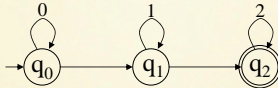


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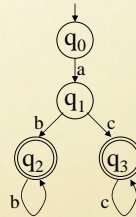
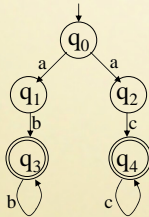




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# Examples of Regular Languages

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$$\{ab, a^2 b^2, \dots a^n b^n\}$$

# Notation

$$q \xrightarrow{a} q' \Leftrightarrow (q, a, q') \in \delta$$

$$q \xrightarrow{u} q' \Leftrightarrow q = q_1 \xrightarrow{a_1} q_2 \xrightarrow{a_2} \dots \xrightarrow{a_{n-1}} q_n = q'$$

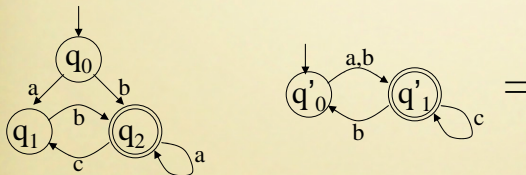
where  $u = a_1 a_2 \dots a_{n-1} \in \Sigma^*$

# Intersection of Automata

$$A = (S, \Sigma, \delta, q_0, F), B = (S', \Sigma, \delta', q'_0, F')$$

An Automaton that accepts  $L(A) \cap L(B)$

$$(S \times S', \Sigma, \delta \times \delta', (q_0, q'_0), F \times F')$$

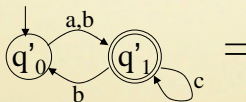
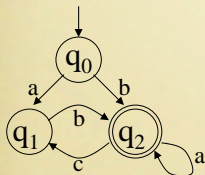


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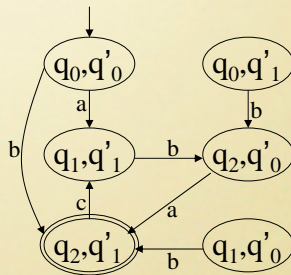
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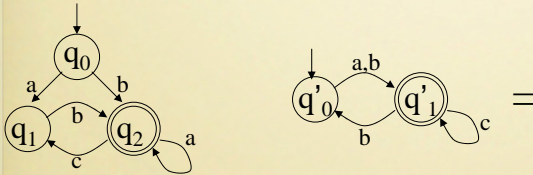


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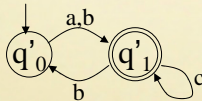
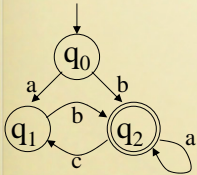


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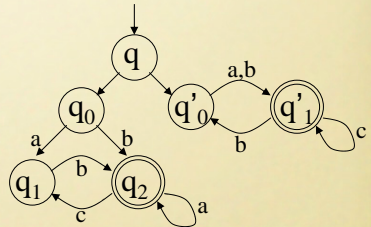
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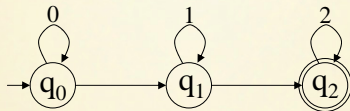
# Removing $\varepsilon$ -transitions

$$A = (S, \Sigma, \delta, q_0, F)$$

$$A' = (S, \Sigma, \{(q, a, q') \mid q \xrightarrow{\varepsilon^* a \varepsilon^*} q'\}, q_0, F')$$

$$\text{where } F' = \begin{cases} F \cup \{q_0\} & \text{if } q_0 \xrightarrow{\varepsilon^*} q_f \text{ for } q_f \in F \\ F & \text{otherwise} \end{cases}$$

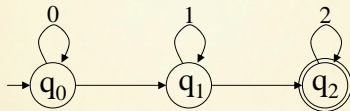
# Example of $\varepsilon$ -transition Removal



Put a new transition  $\xrightarrow{a}$  where  $\xrightarrow{\varepsilon^* a \varepsilon^*}$

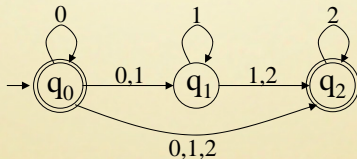
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# Complement of Automata

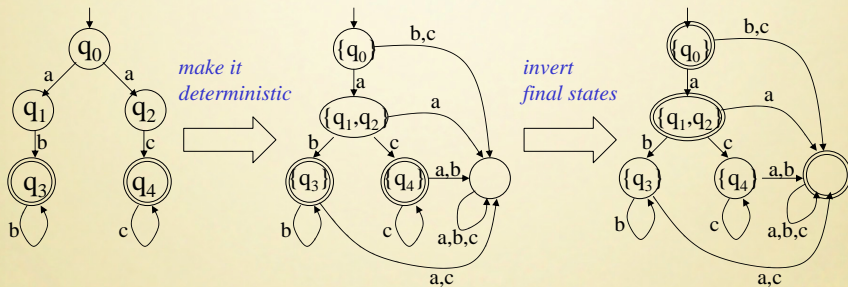
$$A = (S, \Sigma, \delta, q_0, F)$$

- if  $A$  is **deterministic**,  $A^c = (S, \Sigma, \delta, q_0, S - F)$ .
- if  $A$  is **non-deterministic**, make  $A$  deterministic first

Assume that  $A$  is without  $\epsilon$ -transition. Then

$$(P(S), \Sigma, \{(X, a, \{y \mid x \xrightarrow{a} y \text{ for } x \in X\})\}, \{q_0\}, \{X \mid X \cap F \neq \emptyset\})$$

# Example of Complement



# Emptiness

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## Pumping Lemma

Let  $A = (S, \Sigma, \delta, q_0, F)$  be a finite automaton. For each  $z \in L(A)$  with  $|z| \geq |S|$ ,  $\exists u, v, w$  such that  $z = uvw$ ,  $|uw| < |S|$ ,  $|v| \geq 1$ ,  $uv^i w \in L(A)$ .



# Emptiness

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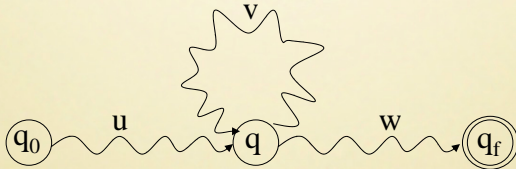
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$L(A) \neq \emptyset$  iff  $\exists z$  with  $|z| < |S|$  and  $z \in L$ .

# Idea of Pumping Lemma

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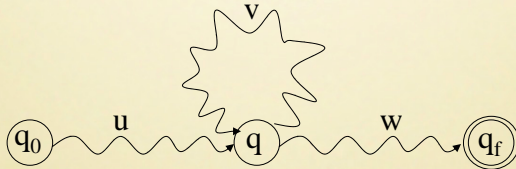
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Pigeon hole principle!

# Subset

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$$L(A) \subseteq L(B) \Leftrightarrow L(A) \cap L(B^c) = \emptyset$$

# Congruence

$u R v$  is a **congruence** iff  $R$  is an equivalence and preserved under concatenation

$$u R v \Rightarrow wuw' R wvw' \text{ for each } w, w' \in \Sigma^*$$

.

# Myhill-Nerode Theorem

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The following three statements are equivalent.

- ①  $L$  is regular.
- ②  $L$  is a union of congruence classes of finite index.
- ③  $R_L$  is a congruence of finite index, where

$$u R_L v \text{ iff } uw \in L \Leftrightarrow vw \in L \text{ for each } w \in \Sigma^*$$

# Proof: $3 \Rightarrow 1$

Let  $R_L$  be a congruence of finite index, where

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- $S = \Sigma^* / R_L$  (**finite** congruence classes of  $R_L$ )
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$L = L(A)$  and  $L$  is regular.

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$R_A$  is a congruence of finite index, (at most  $2^{|S| \times |S|}$ ).

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Let  $u R_L v$  iff  $uw \in L \Leftrightarrow vw \in L$  for each  $w \in \Sigma^*$ .

$u R v \Rightarrow u R_L v$ ; thus,  $R_L$  is of finite index.

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Note that each  $U_i$  is regular! Thus,

$$L^c = \bigcup_{U_i \cap L = \emptyset} U_i$$

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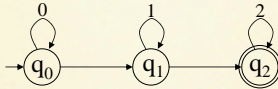
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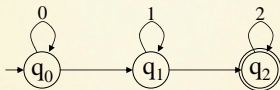
$L(A)$  is called **regular** ( $\omega$ -language).



# Examples of Büchi Automata

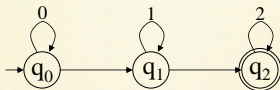


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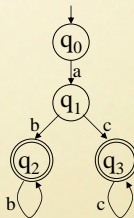
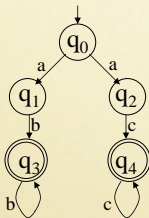


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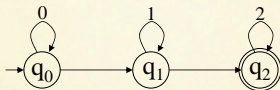
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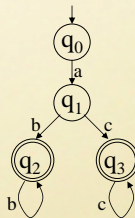
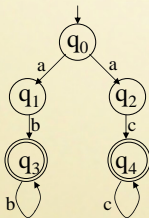
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Accepted by **deterministic** Büchi automata?

- $(b^*a)^\omega$
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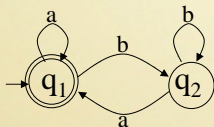
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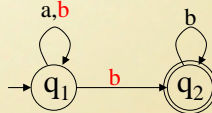
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Let  $\text{Inf}(\sigma)$  be the set of states that a path  $\sigma$  crosses infinitely often. Let  $\alpha \in \Sigma^\omega$

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Büchi automata  $A = (S, \Sigma, \delta, q_0, F)$

- $\alpha$  has a path  $\sigma$  such that  $\text{Inf}(\sigma) \cap F \neq \emptyset$ .

Muller automata  $A = (S, \Sigma, \delta, q_0, \{F_1, \dots, F_m\})$

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Rabin automata  $A = (S, \Sigma, \delta, q_0, \{(L_1, M_1), \dots, (L_m, M_m)\})$

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If nondeterministic, they are all equivalent.

# Algorithm Reuse

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- Intersection?
- Union?
- Complement?
- Emptiness?
- Subset?

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Büchi automaton that accepts  $L(A) \cap L(B)$

$$(S \times S' \times \{0, 1\}, \Sigma, \delta'', (q_0, q'_0, 0), F \times S' \times \{0\} \cup S \times F' \times \{1\})$$

where  $\delta'' = \{((s, s', i), (a, a'), (t, t', j)) \mid (s, a, t) \in \delta, (s', a', t') \in \delta',$

- $j = 1$  if either  $i = 0$  and  $t \in F$ , or  $i = 1$  and  $t' \notin F'$ ,
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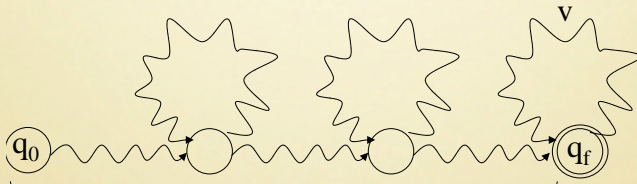
$$(S \cup S' \cup \{q\}, \Sigma, \delta \cup \delta' \cup \{(q, \varepsilon, q_0), (q, \varepsilon, q'_0)\}, q, F \cup F')$$

# Emptiness

For a Büchi automaton  $A = (S, \Sigma, \delta, q_0, F)$ ,  $L(A) \neq \emptyset$  implies  $\exists u, v \in \Sigma^*$  such that  $|u|, |v| \leq |S|$  and  $uv^\omega \in L(A)$ .

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The Büchi automaton version of Myhill-Nerode Theorem discussion is required.



# Several ways for Complement

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*Miyano, S., Hayashi, T., Alternating Finite Automata on omega-Words. TCS 32, pp.321-330, 1984*

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Explicit representation by congruence classes (this also gives minimization)

Many many new techniques recently, which is still a hot topic nowadays.

# Notations

For a Büchi automaton  $A = (S, \Sigma, \delta, q_0, F)$ ,

$$q \xrightarrow{u}_F q' \Leftrightarrow q \xrightarrow{u} q' \text{ across some } q_f \in F$$

$$u \sim_A v \text{ iff } \forall q, q' \in S,$$

$$(q \xrightarrow{u} q' \Leftrightarrow q \xrightarrow{v} q') \wedge (q \xrightarrow{u}_F q' \Leftrightarrow q \xrightarrow{v}_F q')$$

# Finite Congruence of Büchi Automata

$\sim_A$  is a finite congruence over  $\Sigma$

$\sim_A$  classes  $U, V$  are regular

$U.V^\omega$  is regular  $\omega$ -languages.

# $L(A)$ as a Union of $U.V^\omega$

## Lemma

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$$L(A) = \bigcup_{U.V^\omega \cap L(A) \neq \emptyset} U.V^\omega$$

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$$U.V^\omega \cap L(A) \neq \emptyset \Rightarrow U.V^\omega \subseteq L(A)$$



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$L(A) \subseteq \bigcup_{U.V^\omega \cap L(A) \neq \emptyset} U.V^\omega$  needs **Ramsey's Theorem**.

# Ramsey's Theorem

## General Version

Let  $G$  be an infinite complete graph. Put a label from  $\{1, 2, \dots, k\}$  on each edge. Then, there exists an infinite complete sub-graph such that its each edge has the same label.

$$L(A) \subseteq \bigcup_{U.V^\omega \cap L(A) \neq \emptyset} U.V^\omega$$

For each  $\alpha \in \Sigma^\omega$ , there exist  $\sim_A$ -classes  $U, V$  such that  $\alpha \in U.V^\omega$ .

Let  $\alpha(m, n) = a_m a_{m+1} \dots a_{n-1}$  for  $\alpha = a_1 a_2 a_3 \dots$

Regarding  $\alpha(m, n) \in V$  as a label  $V$ , by Ramsey's Theorem,  $\alpha(n_1, n_2), (n_2, n_3), \dots \in V$ . If  $\alpha(0, n_1) \in U$ , then  $\alpha \in U.V^\omega$ .

# Complement of $L(A)$

For a Büchi automaton  $A = (S, \Sigma, \delta, q_0, F)$ ,

$$L(A) = \bigcup_{U.V^\omega \cap L(A) \neq \emptyset} U.V^\omega$$

Then,

$$L^c(A) = \bigcup_{U.V^\omega \cap L(A) = \emptyset} U.V^\omega$$

$U, V$  are regular; thus,  $L^c(A)$  is regular.

# Reports

Rep4. Antichain for Universality, subset of automata (Maximal 3 students).