

Fundamentals of Programming Languages V

Abstract Interpretation

Guoqiang Li

School of Software, Shanghai Jiao Tong University

Assignment

Assignment 2 is announced! *Deadline Nov. 15*

Reference

Cousot P., Cousot R., Abstract Interpretation: A Unified Lattice Mode for Static Analysis of Programs by Construction or Approximation of Fixpoints, POPL'77

Steffen, B., Data Flow Analysis as Model Checking, TACS'91

*Schmidt, D.A., Data Flow Analysis is Model Checking of Abstract Interpretations
POPL'98*

*Lacey,D., Proving Correctness of Compiler Optimizations by Temporal Logic
POPL'02*

Turing Award

1986, Tony Hoare

For his fundamental contributions to the definition and design of programming languages...

1991, Robin Milner

For three distinct and complete achievements: 1) LCF, the mechanization of Scott's Logic of Computable Functions, probably the first theoretically based yet practical tool for machine assisted proof construction; 2) ML, the first language to include polymorphic type inference together with a type-safe exception-handling mechanism; 3) CCS, a general theory of concurrency. In addition, he formulated and strongly advanced full abstraction, the study of the relationship between operational and denotational semantics...

1996, Amir Pnueli

For seminal work introducing temporal logic into computing science and for outstanding contributions to program and systems verification...

2007, Edmund M. Clarke, E. Allen Emerson and Joseph Sifakis

For their roles in developing model checking into a highly effective verification technology, widely adopted in the hardware and software industries...

Abstract Interpretation in Practice

Hardware Model Checking

- **Classical Model Checking** (in Clarke's book)(hardware model checking): Implementer and verifier are same.
 - During designing a system, each model is checked and refined.
 - A correct system implementation is finally obtained.
- e.g. a modulo 8 counter
 - $v'_0 = \neg v_0$
 - $v'_1 = v_0 \oplus v_1$
 - $v'_2 = (v_0 \wedge v_1) \oplus v_2$

Software Model Checking

- **Modern Model Checking**(software model checking):
Implementer and verifier may be different.
 - Given a system implementation (e.g. a source code), construct a model and verify it.
 - Construction of models: manually/automatically.
- e.g.
 - security protocols
 - real-time embedded systems
 - **program analysis**

Classical Program Analysis

- From Dragon book
 - dead code detection
 - constant propagation
 - common expression detection
 - code motion

Classical Program Analysis

- From Dragon book
 - dead code detection
 - constant propagation
 - common expression detection
 - code motion
- From POPA
 - available expression analysis
 - reaching definition analysis
 - very busy expression analysis
 - live variable analysis

Classical Program Analysis

- From Dragon book
 - dead code detection
 - constant propagation
 - common expression detection
 - code motion
- From POPA
 - available expression analysis
 - reaching definition analysis
 - very busy expression analysis
 - live variable analysis
- Object-oriented program analysis
 - point-to analysis
 - escape analysis
 - inference of class invariants
 - shape analysis

Classical Program Analysis

- From Dragon book

- dead code detection
- constant propagation
- common expression detection
- code motion

- Object-oriented program analysis

- point-to analysis
- escape analysis
- inference of class invariants
- shape analysis

- From POPA

- available expression analysis
- reaching definition analysis
- very busy expression analysis
- live variable analysis

- Concurrent program analysis

- deadlock, livelock
- data race

- Aspect-oriented program analysis

- ?????

Brief Idea of Abstract Interpretation

- In order to know properties of a program, execute it for all possible inputs.
- It will not terminate since domains of variables are often infinite.
- **Abstract** input data to a suitable finite domain. Then finiteness guarantees termination.
- Functions needs to be **reinterpreted**.

Brief Idea of Abstract Interpretation

- In order to know properties of a program, execute it for all possible inputs.
- It will not terminate since domains of variables are often infinite.
- **Abstract** input data to a suitable finite domain. Then finiteness guarantees termination.
- Functions needs to be **reinterpreted**.
- Examples:
 - Security protocols: a principal may receive infinitely many messages from environments.
(**some affects the principal, some are not**)
 - Embedded systems: a scheduler may have to schedule infinitely many released tasks.
(**when time exceeds, all tasks have the same results**)

Abstract Interpretation on Program Analysis: Examples

- Even/Odd analysis: $x \times (x + 1)$ is even.

Abstract Interpretation on Program Analysis: Examples

- Even/Odd analysis: $x \times (x + 1)$ is even.
 - Abstraction:
 - *Even*
 - *Odd*

Abstract Interpretation on Program Analysis: Examples

- Even/Odd analysis: $x \times (x + 1)$ is even.
 - Abstraction:
 - *Even*
 - *Odd*
 - Interpretation:
 - $Even + Even = Even, Even + Odd = Odd,$
 - $Even \times Odd = Even, \dots$

Abstract Interpretation on Program Analysis: Examples

- Even/Odd analysis: $x \times (x + 1)$ is even.
 - Abstraction:
 - *Even*
 - *Odd*
 - Interpretation:
 - $Even + Even = Even, Even + Odd = Odd,$
 - $Even \times Odd = Even, \dots$
- Positive/negative analysis

Abstract Interpretation on Program Analysis: Examples

- Even/Odd analysis: $x \times (x + 1)$ is even.
 - Abstraction:
 - *Even*
 - *Odd*
 - Interpretation:
 - $Even + Even = Even, Even + Odd = Odd,$
 - $Even \times Odd = Even, \dots$
- Positive/negative analysis
 - Abstraction:
 - *Pos*
 - *Neg*
 - *Zero*

Abstract Interpretation on Program Analysis: Examples

- Even/Odd analysis: $x \times (x + 1)$ is even.
 - Abstraction:
 - *Even*
 - *Odd*
 - Interpretation:
 - $Even + Even = Even, Even + Odd = Odd,$
 - $Even \times Odd = Even, \dots$
- Positive/negative analysis
 - Abstraction:
 - *Pos*
 - *Neg*
 - *Zero*
 - Interpretation:
 - $Pos + Pos = Pos, Pos + Neg = \{Pos, Zero, Neg\}, \dots$
 - $Neg \times Neg = Pos, Pos \times Zero = Zero, \dots$

Abstract Interpretation on Program Analysis: Examples

- Even/Odd analysis: $x \times (x + 1)$ is even.
 - Abstraction:
 - *Even*
 - *Odd*
 - Interpretation:
 - $Even + Even = Even, Even + Odd = Odd,$
 - $Even \times Odd = Even, \dots$
- Positive/negative analysis
 - Abstraction:
 - *Pos*
 - *Neg*
 - *Zero*
 - Interpretation:
 - $Pos + Pos = Pos, Pos + Neg = \{Pos, Zero, Neg\}, \dots$
 - $Neg \times Neg = Pos, Pos \times Zero = Zero, \dots$
 - **The result will be a (sound) approximation.**

Sound Abstraction

The result is an approximation

Sound Abstraction

The result is an approximation

Completeness: sufficient condition

- if no errors detected there are really no errors.

Soundness: necessary condition

- if errors detected they are really errors.

Sound Abstraction

The result is an approximation

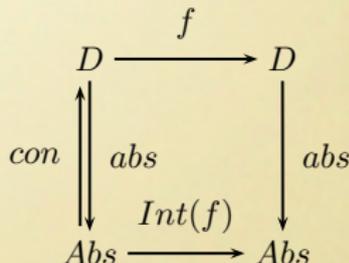
Completeness: sufficient condition

- if no errors detected there are really no errors.

Soundness: necessary condition

- if errors detected they are really errors.

- Data Abstraction : $abs : D \rightarrow Abs$
- Typical concretization : $con = abs^{-1}$
- $(abs \cdot con = id_{Abs}) \wedge (con \cdot abs \supseteq id_D)$
guarantee **soundness**.



Abstract Interpretation

Define abstraction and finite abstract domain

$$abs : D \rightarrow Abs$$

Abstract Interpretation

Define abstraction and finite abstract domain

$$abs : D \rightarrow Abs$$

Interpret primitive functions

$$+, \times, if, =, \geq, \leq, \dots$$

Abstract Interpretation

Define abstraction and finite abstract domain

$$abs : D \rightarrow Abs$$

Interpret primitive functions

$$+, \times, if, =, \geq, \leq, \dots$$

Execute looping structures via **program semantics**

while, function call

Program Semantics

Semantics: interpret a program (syntax) as an input-output relation (semantics).

Intuitively, the input-output relation is a relation between input environment and output environment of variables in a scopes.

Looping structure is solved as **least fixed point**.

Program Semantics

Semantics: interpret a program (syntax) as an input-output relation (semantics).

Intuitively, the input-output relation is a relation between input environment and output environment of variables in a scopes.

Looping structure is solved as **least fixed point**.

Theorem

Tarski's least fixed point theorem:

Assume $\tau : \mathcal{P}(D) \rightarrow \mathcal{P}(D)$ is a monotonic, then there exists the unique least fixed point, which is computed as $\bigcup_i \tau^i(\emptyset)$.

Program Semantics

Semantics: interpret a program (syntax) as an input-output relation (semantics).

Intuitively, the input-output relation is a relation between input environment and output environment of variables in a scopes.

Looping structure is solved as **least fixed point**.

Theorem

Tarski's least fixed point theorem:

Assume $\tau : \mathcal{P}(D) \rightarrow \mathcal{P}(D)$ is a monotonic, then there exists the unique least fixed point, which is computed as $\bigcup_i \tau^i(\emptyset)$.

We will come back to this theorem again!

Example: Computing Sum

```
/* sum */  
1: read n;  
2: i := 0;  
3: S := 0;  
4: c := True;  
5: while c  
   do  
6: S = S + i;  
7: i := i + 1;  
8: c = i <= n;  
   od;  
9: write S
```

```
if ¬c  
then skip  
else  
  S := S + i;  
  i := i + 1;  
  c := i <= n;  
endif  
if ¬c  
then skip  
else  
  S := S + i;  
  i := i + 1;  
  c := i <= n;
```

τ

τ^2

$env = [n, i, s, c]$

$\tau : \mathcal{P}(env \times env) \rightarrow \mathcal{P}(env \times env)$

step 0: \emptyset

step 1:

$\{([0, 0, 0, \text{True}], [0, 1, 0, \text{False}])\}$

step 2:

$\{([0, 0, 0, \text{True}], [0, 1, 0, \text{False}]), ([1, 0, 0, \text{True}], [1, 2, 1, \text{False}])\}$

Example: Positive/Negative Analysis

Abstraction: $Nat \rightarrow \{Pos, Zero\}$

$env = [n, i, s, c]$

$\tau : \mathcal{P}(env \times env) \rightarrow \mathcal{P}(env \times env)$

```

/* sum */
1: read n;
2: i := 0;
3: S := 0;
4: c := True;
5: while c
  do
6: S = S + i;
7: i := i + 1;
8: c := i <= n;
  od;
9: write S
  
```

} τ

step 0: \emptyset

step 1:

$\{ ([Zero, Zero, Zero, True], [Zero, Pos, Zero, False]) \}$

τ^2

step 2:

$\{ ([Zero, Zero, Zero, True], [Zero, Pos, Zero, False]), [Pos, Zero, Zero, True], [Pos, Pos, Pos, True/Fal se] \}$

Least Fixed Point!

Example: Variation

Abstraction: $Nat \rightarrow \{Many, One, Zero\}$

```
/* sum */
1: read n;
2: i := 0;
3: S := 0;
4: c := True;
5: while c
  do
6: S = S + i ;
7: i := i + 1;
8: c = i <= n;
  od;
9: write S
```

```
if  $\neg c$ 
then skip
else
  S := S + i ;
  i := i + 1;
  c := i <= n;

if  $\neg c$ 
then skip
else
  S := S + i ;
  i := i + 1;
  c := i <= n;
```

} τ

$env = [n, i, s, c]$

$\tau : \mathcal{P}(env \times env) \rightarrow \mathcal{P}(env \times env)$

step 0: \emptyset

step 1:

$\{ ([Zero, Zero, Zero, True],$
 $\tau_{1/2}^2 [Zero, One, Zero, False]) \}$

step 2:

$\{ ([Zero, Zero, Zero, True],$
 $[Zero, One, Zero, False]),$
 $[One, Zero, Zero, True],$
 $[One, One, One, True]) \}$

Program Analysis = Abstract Interpretation + Model Checking

Model Checking Based Program Analysis

- Each line of a program is regarded as nondeterministic transition.
- After abstraction, states becomes finite.
- Least fixed point computation over finite domains can be done by model checking.

Model Checking Based Program Analysis

- Each line of a program is regarded as nondeterministic transition.
- After abstraction, states becomes finite.
- Least fixed point computation over finite domains can be done by model checking.
- Advantage:
 - Obtain more precise results.
 - Need not develop each program for each analysis, just reduce the analysis to a model checking problem.
 - Save implementing time.

Model Checking Based Program Analysis

- Each line of a program is regarded as nondeterministic transition.
- After abstraction, states becomes finite.
- Least fixed point computation over finite domains can be done by model checking.
- Advantage:
 - Obtain more precise results.
 - Need not develop each program for each analysis, just reduce the analysis to a model checking problem.
 - Save implementing time.
- Disadvantage:
 - not so efficient. (do we really need preciseness?)

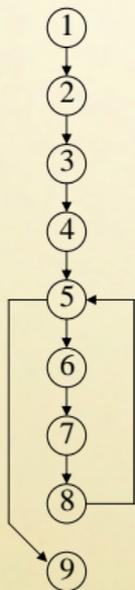
Example: Positive/Negative Analysis

Abstraction: $Nat \rightarrow \{Pos, Zero\}$

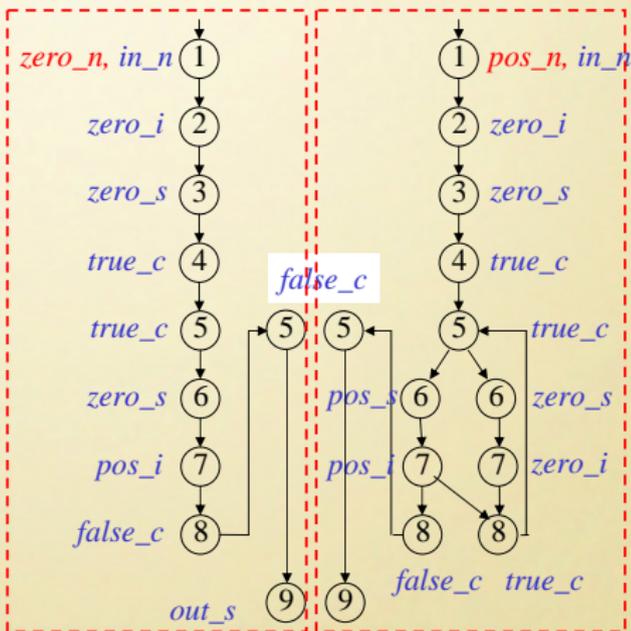
```

/* sum */
1: read n;
2: i := 0;
3: S := 0;
4: c := True;
5: while e c
  do
6: S = S + i ;
7: i := i + 1;
8: c := i <= n;
  od;
9: write S
    
```

state = [n, i, s, c],
 in_n, out_s
 n, i, s, c: Boolean



CFG



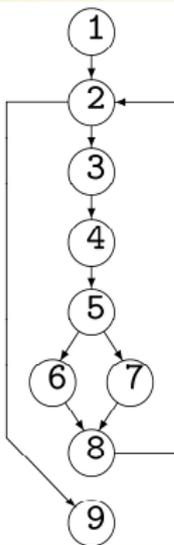
zero_n

model

pos_n

Example: Even/Odd Analysis

```
1 : read X;
2 : while X > 1
  do
3 :   Z := X * 3 + 1;
4 :   C := X % 2;
5 :   if C = 0
  then
6 :     X := X / 2;
  else
7 :     X := Z;
  fi;
8 :   Z := X * 2;
  od;
9 : write X;
```



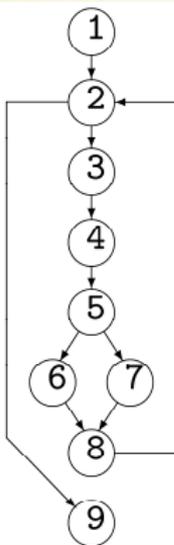
*state = line x , $[x, z, c]$
read_X, write_X)
 $x, z, c : Bool(\text{even/odd})$*

*This is expected
to return 1*

CFG

Example: Even/Odd Analysis

```
1 : read X;
2 : while X > 1
  do
3 :   Z := X * 3 + 1;
4 :   C := X % 2;
5 :   if C = 0
  then
6 :     X := X / 2;
  else
7 :     X := Z;
  fi;
8 :   Z := X * 2;
  od;
9 : write X;
```



CFG

$state = line\ x, [x, z, c]$
 $read_x, write_x$
 $x, z, c : Bool(even/odd)$

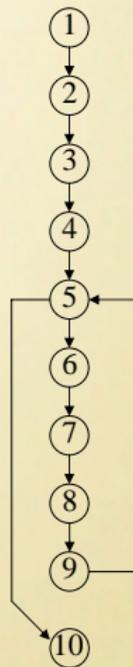
$AG(!EF(write_x$
 $\wedge x = even))$

*This is expected
to return 1*

Dead Code Detection

- Detect variables that are defined, but never used or redefined before used.
- Abstraction:
 - def_x when x is defined (e.g. $x := 2$)
 - use_x when x is referred (e.g. $y := x + 1$)

```
/* sum */
1:  read n;
2:  i := 0;
3:  S := 0;
4:  c := True;
5:  while c
   do
6:  S := S + i;
7:  c := False;
8:  i := i + 1;
9:  c := i <= n;
   od;
10: write S
```



CFG

Dead Code Detection

- Useless code: x is defined but never used.

Dead Code Detection

- Useless code: x is defined but never used.

$$AG \neg (def_x \wedge AX \neg EF use_x)$$

x is never defined if it will not be used

Dead Code Detection

- Useless code: x is defined but never used.

$$AG \neg (def_x \wedge AX \neg EF use_x)$$

x is never defined if it will not be used

- x is defined but not used before re-defined.

Dead Code Detection

- Useless code: x is defined but never used.

$$AG \neg(def_x \wedge AX \neg EF use_x)$$

x is never defined if it will not used

- x is defined but not used before re-defined.

$$AG \neg(def_x \wedge AX \neg A(\neg use_x U def_x))$$

Abstract Interpretation in Theory

Abstract Interpretation

Mathematical framework that helps the highlevel design of a program analysis.

Based on **lattice theory**.

Suggests to design an analysis by choosing appropriate lattices and functions between them.

PA in a Nutshell

Two main components of a program analysis:

- **Abstract domain** D s.t. $\perp \in D$
- **Transfer function** $F : D \rightarrow D$

Aim: Compute a fixpoint of F .

Algorithm: Start from \perp . Apply F repeatedly until fixpoint.

$$\perp, F(\perp), F^2(\perp), \dots, F^n(\perp), F^{n+1}(\perp)$$

where n is the first s.t. $F^n(\perp) = F^{n+1}(\perp)$

A Running Example

```
assume( $x == 2$ );  
while (nondet()) {  $x = x + 2$ ; }  
assert( $x > 0$ );
```

A Running Example

```
assume(x == 2);  
while (nondet()) { x = x + 2; }  
assert(x > 0);
```

- $D = \text{Sign} = \{\perp, \text{pos}, \text{neg}, Z\}$ where $\perp = \emptyset$
- $F(d) = \text{pos} \cup (\text{if } (d = \text{neg}) \text{ then } Z \text{ else } d)$.
- Analysis run:

$$\perp = \emptyset, \quad F(\perp) = \text{pos}, \quad F^2(\perp) = \text{pos}$$

Main Concerns

Main Concerns

Q1: Does a fixpoint of F exist?

Q2: Is a fixpoint of F a correct answer?

Q3: Does our algorithm always terminate? If not, what should we do?

Main Concerns

Q1: Does a fixpoint of F exist?

Q2: Is a fixpoint of F a correct answer?

Q3: Does our algorithm always terminate? If not, what should we do?

Abstract interpretation answers these questions. It provides guidance about how to choose D and F .

Main Concerns

Q1: Does a fixpoint of F exist?

Q2: Is a fixpoint of F a correct answer?

Q3: Does our algorithm always terminate? If not, what should we do?

Abstract interpretation answers these questions. It provides guidance about how to choose D and F .

Tarski's Fixpoint Theorem

Answer of Q1

Recall the components of a abstract interpretation:

$$D, \quad F : D \rightarrow D \text{ such that } \perp \in D$$

.

Q1: Does F have a fixpoint?

Answer of Q1

Recall the components of a abstract interpretation:

$$D, \quad F : D \rightarrow D \text{ such that } \perp \in D$$

.

Q1: Does F have a fixpoint?

A1: If D is a **complete lattice** and F is **monotone**, F has the **least and the greatest fixpoints**.

Preorder and Partial Order

Preorder and Partial Order

A binary relation \sqsubseteq on a set D is a **preorder** if

- **reflexivity**: $d \sqsubseteq d$ for all d in D
- **transitivity**: $(d \sqsubseteq e \wedge e \sqsubseteq f) \Rightarrow d \sqsubseteq f$

Preorder and Partial Order

A binary relation \sqsubseteq on a set D is a **preorder** if

- **reflexivity**: $d \sqsubseteq d$ for all d in D
- **transitivity**: $(d \sqsubseteq e \wedge e \sqsubseteq f) \Rightarrow d \sqsubseteq f$

A preorder \sqsubseteq is a **partial order** if

- **antisymmetry**: $(d \sqsubseteq e \wedge e \sqsubseteq d) \Rightarrow e = d$

Preorder and Partial Order

A binary relation \sqsubseteq on a set D is a **preorder** if

- **reflexivity**: $d \sqsubseteq d$ for all d in D
- **transitivity**: $(d \sqsubseteq e \wedge e \sqsubseteq f) \Rightarrow d \sqsubseteq f$

A preorder \sqsubseteq is a **partial order** if

- **antisymmetry**: $(d \sqsubseteq e \wedge e \sqsubseteq d) \Rightarrow e = d$

A **preset** means a set D with a preorder \sqsubseteq . A **poset** means a set D with a partial order \sqsubseteq . Usually written in (D, \sqsubseteq)

Preorder and Partial Order

A binary relation \sqsubseteq on a set D is a **preorder** if

- **reflexivity**: $d \sqsubseteq d$ for all d in D
- **transitivity**: $(d \sqsubseteq e \wedge e \sqsubseteq f) \Rightarrow d \sqsubseteq f$

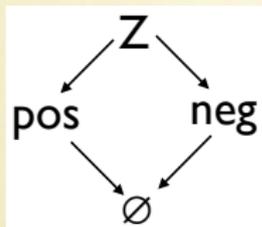
A preorder \sqsubseteq is a **partial order** if

- **antisymmetry**: $(d \sqsubseteq e \wedge e \sqsubseteq d) \Rightarrow e = d$

A **preset** means a set D with a preorder \sqsubseteq . A **poset** means a set D with a partial order \sqsubseteq . Usually written in (D, \sqsubseteq)

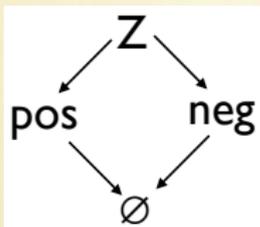
Idea: \sqsubseteq is an approximate subset/implication relation

Quiz: Poset



Q1: Sign:

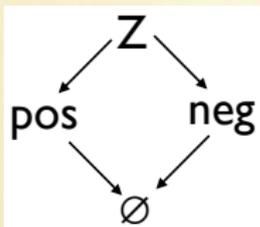
Quiz: Poset



Q1: Sign:

Q2: Powerset of \mathbb{Z} : $(P(\mathbb{Z}), \subseteq)$

Quiz: Poset

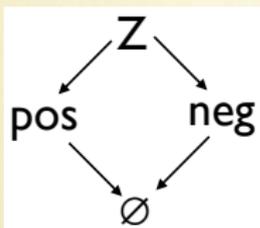


Q1: Sign:

Q2: Powerset of \mathbb{Z} : $(P(\mathbb{Z}), \subseteq)$

Q3: Interval: $(\{[n, m] \mid n, m \in \mathbb{Z} \wedge n \leq m\}, \subseteq)$

Quiz: Poset



Q1: Sign:

Q2: Powerset of \mathbb{Z} : $(P(\mathbb{Z}), \subseteq)$

Q3: Interval: $(\{[n, m] \mid n, m \in \mathbb{Z} \wedge n \leq m\}, \subseteq)$

Q4: $LinCon_A : (\{Ax \leq b \mid b \in \mathbb{Z}_n\}, \Rightarrow)$

Least Upper Bound (lub)

Let (D, \sqsubseteq) be a poset, and E a subset of D

- d is an **upper bound** of E if $e \sqsubseteq d$ for all $e \in E$
- d is a **least upper bound (lub)** of E if d is an upper bound and $d \sqsubseteq d'$ for all upper bound d' of E

Least Upper Bound (lub)

Let (D, \sqsubseteq) be a poset, and E a subset of D

- d is an **upper bound** of E if $e \sqsubseteq d$ for all $e \in E$
- d is a **least upper bound (lub)** of E if d is an upper bound and $d \sqsubseteq d'$ for all upper bound d' of E
- Notations: $\text{lub}(E)$ and $\sqcup E$

Least Upper Bound (lub)

Let (D, \sqsubseteq) be a poset, and E a subset of D

- d is an **upper bound** of E if $e \sqsubseteq d$ for all $e \in E$
- d is a **least upper bound (lub)** of E if d is an upper bound and $d \sqsubseteq d'$ for all upper bound d' of E
- Notations: $\text{lub}(E)$ and $\sqcup E$

Quiz: $\text{lub}(E)$ is unique?

Greatest Lower Bound (glb)

Dual to the definition of lub.

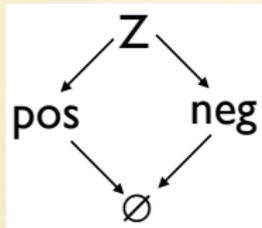
- d is a lower bound of E if $e \supseteq d$ for all $e \in E$
- d is a **greatest lower bound (glb)** of E if d is a lower bound and $d \supseteq d'$ for all lower bound d' of E .

Greatest Lower Bound (glb)

Dual to the definition of lub.

- d is a lower bound of E if $e \supseteq d$ for all $e \in E$
- d is a **greatest lower bound (glb)** of E if d is a lower bound and $d \supseteq d'$ for all lower bound d' of E .
- Notations: $glb(E)$ and $\sqcap E$

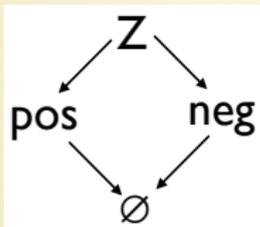
Quiz: Compute lub



Q1: Sign:

- $pos \sqcup neg = ??$

Quiz: Compute lub



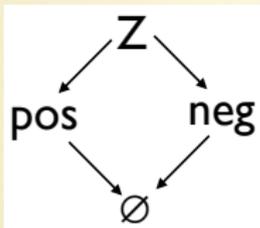
Q1: Sign:

- $pos \sqcup neg = ??$

Q2: Powerset of \mathbb{Z} : $P(\mathbb{Z})$

- $\{2\} \sqcup \{4\} = ??$

Quiz: Compute lub



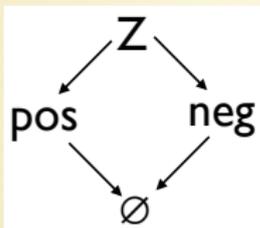
Q1: Sign:

- $pos \sqcup neg = ??$

Q2: Powerset of \mathbb{Z} : $P(\mathbb{Z})$

- $\{2\} \sqcup \{4\} = ??$
- $\{2\} \sqcup \{4\} \sqcup \{6\} \sqcup \dots = ??$

Quiz: Compute lub



Q1: Sign:

- $pos \sqcup neg = ??$

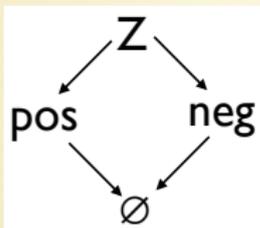
Q2: Powerset of \mathbb{Z} : $P(\mathbb{Z})$

- $\{2\} \sqcup \{4\} = ??$
- $\{2\} \sqcup \{4\} \sqcup \{6\} \sqcup \dots = ??$

Q3: Interval: $\{[n, m] \mid n, m \in \mathbb{Z} \wedge n \leq m\}$

- $[2, 2] \sqcup [4, 4] = ??$

Quiz: Compute lub



Q1: Sign:

- $pos \sqcup neg = ??$

Q2: Powerset of \mathbb{Z} : $P(\mathbb{Z})$

- $\{2\} \sqcup \{4\} = ??$
- $\{2\} \sqcup \{4\} \sqcup \{6\} \sqcup \dots = ??$

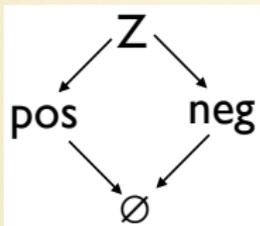
Q3: Interval: $\{[n, m] \mid n, m \in \mathbb{Z} \wedge n \leq m\}$

- $[2, 2] \sqcup [4, 4] = ??$
- $[2, 2] \sqcup [4, 4] \sqcup [6, 6] \sqcup \dots = ??$

Complete Lattice

A poset (D, \sqsubseteq) is a **complete lattice** if every subset of D has the lub and glb.

Quiz: Complete Lattice

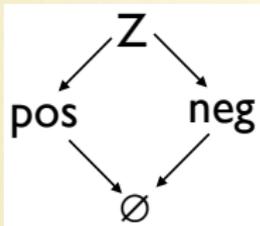


Q1: Sign:

Q2: Powerset of \mathbb{Z} : $(P(\mathbb{Z}), \subseteq)$

Q3: Interval: $([n, m] \mid n, m \in \mathbb{Z} \wedge n \leq m, \subseteq)$

Quiz: Complete Lattice



Q1: Sign:

Q2: Powerset of \mathbb{Z} : $(P(\mathbb{Z}), \subseteq)$

Q3: Interval: $([n, m] \mid n, m \in \mathbb{Z} \wedge n \leq m, \subseteq)$

- $(\emptyset \cup \{[n, m] \mid n, m \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge n \leq m\}, \subseteq)$

Tarski's fixpoint theorem

Let (C, \subseteq) and (D, \sqsubseteq) be presets. A function $F : C \rightarrow D$ is **monotone** if

$$c \subseteq c' \Rightarrow F(c) \sqsubseteq F(c')$$

.

Tarski's fixpoint theorem

Let (C, \subseteq) and (D, \sqsubseteq) be presets. A function $F : C \rightarrow D$ is **monotone** if

$$c \subseteq c' \Rightarrow F(c) \sqsubseteq F(c')$$

.

[Tarski's Theorem]

Every monotone function F on a complete lattice D has both the least and the greatest fixpoints.

Tarski's fixpoint theorem

Let (C, \subseteq) and (D, \sqsubseteq) be presets. A function $F : C \rightarrow D$ is **monotone** if

$$c \subseteq c' \Rightarrow F(c) \sqsubseteq F(c')$$

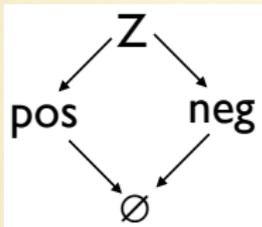
.

[Tarski's Theorem]

Every monotone function F on a complete lattice D has both the least and the greatest fixpoints.

Notations: $lfp(F)$ and $gfp(F)$.

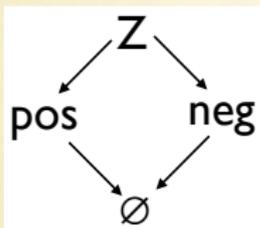
Quiz: Compute Fixpoints



Q1: Sign:

- $F(d) = \text{pos} \sqcup (\text{if } (d = \text{neg}) \text{ then } Z \text{ else } d).$

Quiz: Compute Fixpoints



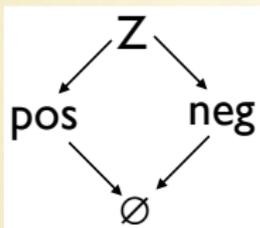
Q1: Sign:

- $F(d) = \text{pos} \sqcup (\text{if } (d = \text{neg}) \text{ then } Z \text{ else } d).$

Q2: Powerset of $\mathbb{Z} : P(\mathbb{Z})$

- $F(d) = \{2\} \sqcup \{i + 2 \mid i \in d\}$

Quiz: Compute Fixpoints



Q1: Sign:

- $F(d) = \text{pos} \sqcup (\text{if } (d = \text{neg}) \text{ then } Z \text{ else } d).$

Q2: Powerset of $\mathbb{Z} : P(\mathbb{Z})$

- $F(d) = \{2\} \sqcup \{i + 2 \mid i \in d\}$

Q3: Interval: $(\emptyset \cup \{[n, m] \mid n, m \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge n \leq m\}, \subseteq)$

- $F(d) = [2, 2] \sqcup (\text{if } (d = [n, m]) \text{ then } [n + 2, m + 2] \text{ else } \emptyset)$

Proof of the Theorem

Tarski's Theorem

Every monotone function F on a complete lattice D has both the least and the greatest fixpoints.

Proof of the Theorem

Tarski's Theorem

Every monotone function F on a complete lattice D has both the least and the greatest fixpoints.

- Let $Pre = \{d \mid F(d) \sqsubseteq d\}$ and $Post = \{d \mid d \sqsubseteq F(d)\}$.

Proof of the Theorem

Tarski's Theorem

Every monotone function F on a complete lattice D has both the least and the greatest fixpoints.

- Let $Pre = \{d \mid F(d) \sqsubseteq d\}$ and $Post = \{d \mid d \sqsubseteq F(d)\}$.
- $glb(Pre)$ is the least fixpoint of F .

Proof of the Theorem

Tarski's Theorem

Every monotone function F on a complete lattice D has both the least and the greatest fixpoints.

- Let $Pre = \{d \mid F(d) \sqsubseteq d\}$ and $Post = \{d \mid d \sqsubseteq F(d)\}$.
- $glb(Pre)$ is the least fixpoint of F .
- $lub(Post)$ is the greatest fixpoint of F .

Finiteness Gains Termination

Lemma

If D is a finite complete lattice and $F : D \rightarrow D$ is monotonic, then the sequence

$$\perp, F(\perp), F^2(\perp), \dots, F^n(\perp), \dots$$

converges to $\text{lfp}(F)$ in finite steps.

Finiteness Gains Termination

Lemma

If D is a finite complete lattice and $F : D \rightarrow D$ is monotonic, then the sequence

$$\perp, F(\perp), F^2(\perp), \dots, F^n(\perp), \dots$$

converges to $\text{lfp}(F)$ in finite steps.

The lemma implies that our analysis terminates.

Finiteness Gains Termination

Lemma

If D is a finite complete lattice and $F : D \rightarrow D$ is monotonic, then the sequence

$$\perp, F(\perp), F^2(\perp), \dots, F^n(\perp), \dots$$

converges to $\text{lfp}(F)$ in finite steps.

The lemma implies that our analysis terminates.

Quiz:

- What if we drop the finiteness requirement

Finiteness Gains Termination

Lemma

If D is a finite complete lattice and $F : D \rightarrow D$ is monotonic, then the sequence

$$\perp, F(\perp), F^2(\perp), \dots, F^n(\perp), \dots$$

converges to $\text{lfp}(F)$ in finite steps.

The lemma implies that our analysis terminates.

Quiz:

- What if we drop the finiteness requirement
- Modify the lemma for greatest fixpoint

Main Concerns

Q1: Does a fixpoint of F exist?

Q2: Is a fixpoint of F a correct answer?

Q3: Does our algorithm always terminate? If not, what should we do?

Main Concerns

Q1: Does a fixpoint of F exist?

- Yes, if D is a complete lattice and F is monotonic.

Q2: Is a fixpoint of F a correct answer?

Q3: Does our algorithm always terminate? If not, what should we do?

Main Concerns

Q1: Does a fixpoint of F exist?

- Yes, if D is a complete lattice and F is monotonic.

Q2: Is a fixpoint of F a correct answer?

Q3: Does our algorithm always terminate? If not, what should we do?

Main Concerns

Q1: Does a fixpoint of F exist?

- Yes, if D is a complete lattice and F is monotonic.

Q2: Is a fixpoint of F a correct answer?

Q3: Does our algorithm always terminate? If not, what should we do?

- Use finite D .

Main Concerns

Q1: Does a fixpoint of F exist?

- Yes, if D is a complete lattice and F is monotonic.

Q2: Is a fixpoint of F a correct answer?

Q3: Does our algorithm always terminate? If not, what should we do?

- Use finite D .

Galois Connection

The Sign Example

```
assume(x == 2);  
while (nondet()) { x = x + 2; }  
assert(x > 0);
```

- $D = \text{Sign} = \{\perp, \text{pos}, \text{neg}, Z\}$ where $\perp = \emptyset$
- $F(d) = \text{pos} \cup (\text{if } (d = \text{neg}) \text{ then } Z \text{ else } d)$.
- $\text{lfp}(F) = \text{Pos}$.

The Sign Example

```
assume(x == 2);  
while (nondet()) { x = x + 2; }  
assert(x > 0);
```

- $D = \text{Sign} = \{\perp, \text{pos}, \text{neg}, Z\}$ where $\perp = \emptyset$
- $F(d) = \text{pos} \cup (\text{if } (d = \text{neg}) \text{ then } Z \text{ else } d)$.
- $\text{lfp}(F) = \text{Pos}$. **Correct.** It overapproximates all possible values of x at the loop entry.

The Sign Example

```
assume( $x < 0$ );  
while (nondet()) {  $x = x + 2$ ; }  
assert( $x > 0$ );
```

- $D = \text{Sign} = \{\perp, \text{pos}, \text{neg}, Z\}$ where $\perp = \emptyset$
- $F(d) = \text{pos} \cup (\text{if } (d = \text{neg}) \text{ then } Z \text{ else } d).$
- $\text{lfp}(F) = \text{Pos}$. **Correct?**

The Guarantee of Correctness

```
assume(x == 2);  
while (nondet()) { x = x + 2; }  
assert(x > 0);
```

$D = \text{Sign}, F(d) = \text{pos} \cup (\text{if } (d = \text{neg}) \text{ then } Z \text{ else } d)$

The Guarantee of Correctness

```
assume(x == 2);  
while (nondet()) { x = x + 2; }  
assert(x > 0);
```

$D = \text{Sign}, F(d) = \text{pos} \cup (\text{if } (d = \text{neg}) \text{ then } Z \text{ else } d)$

- Define the concrete semantics (called collecting semantics):

$$C = P(Z), E(c) = \{2\} \cup \{i + 2 \mid i \in c\}$$

- Connect C and D via **Galois connection**
- Show that F is a **sound abstraction** of E
- Then, correct by **fixpoint transfer theorem**

Galois Connection

Let (C, \subseteq) and (D, \sqsubseteq) be presets. A **Galois connection** from C to D is a pair of monotone functions:

$$\alpha : C \rightarrow D \text{ (abstraction), } \gamma : D \rightarrow C \text{ (concretization)}$$

such that for all c in C and d in D ,

$$\alpha(c) \sqsubseteq d \Leftrightarrow c \subseteq \gamma(d)$$

Galois Connection

Let (C, \subseteq) and (D, \sqsubseteq) be presets. A **Galois connection** from C to D is a pair of monotone functions:

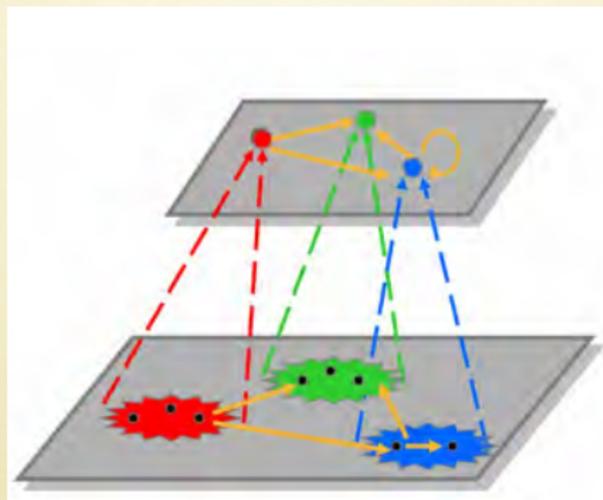
$$\alpha : C \rightarrow D \text{ (abstraction), } \gamma : D \rightarrow C \text{ (concretization)}$$

such that for all c in C and d in D ,

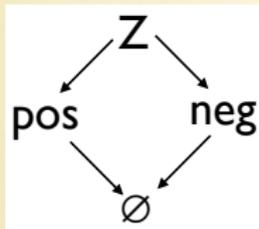
$$\alpha(c) \sqsubseteq d \Leftrightarrow c \subseteq \gamma(d)$$

Intuition: elements in D abstract those in C . $\alpha(c)$ is the best abstraction of c . $\gamma(d)$ is the meaning of d .

Galois Connection



Quiz: Galois Connection

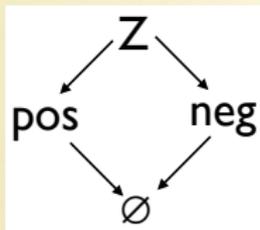


Sign:

Powerset of \mathbb{Z} : $P(\mathbb{Z})$

Interval: $(\emptyset \cup \{[n, m] \mid n, m \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge n \leq m\}, \subseteq)$

Quiz: Galois Connection



Sign:

Powerset of \mathbb{Z} : $P(\mathbb{Z})$

Interval: $(\emptyset \cup \{[n, m] \mid n, m \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge n \leq m\}, \subseteq)$

Find **Galois connections**

- From Powersets to Intervals
- From Intervals to Signs
- From Powersets to Signs

Soundness of Transfer Functions

Assume C, D : Complete lattices, and Galois connection from C to D :

$$\alpha : C \rightarrow D, \gamma : D \rightarrow C$$

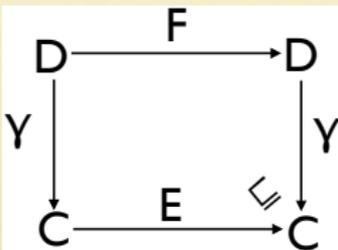
Soundness of Transfer Functions

Assume C, D : Complete lattices, and Galois connection from C to D :
 $\alpha : C \rightarrow D, \gamma : D \rightarrow C$

Let $E : C \rightarrow C$ and $F : D \rightarrow D$ be monotonic functions. F is a **sound abstraction** of E if

$$(E \circ \gamma)(d) \subseteq (\gamma \circ F)(d)$$

for all $d \in D$, diagrammatically,



Quiz: Which One is Sound

$$C = P(\mathbb{Z}), E(c) = \{2\} \cup \{i + 2 \mid i \in c\} D = \{\perp, pos, neg, \mathbb{Z}\}$$

Quiz: Which One is Sound

$$C = P(Z), E(c) = \{2\} \cup \{i + 2 \mid i \in c\} D = \{\perp, pos, neg, Z\}$$

- $F_1(d) = \text{if } (d = neg) \text{ then } Z \text{ else } (d \sqcup pos)$
- $F_2(d) = pos$
- $F_3(d) = Z$

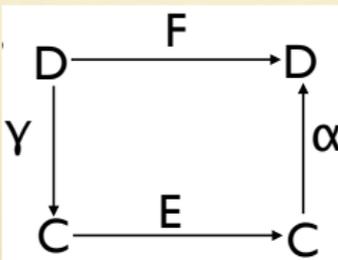
Best Abstraction

Let $E : C \rightarrow C$ and $F : D \rightarrow D$ be monotonic functions.

F is the **best abstraction** of E if

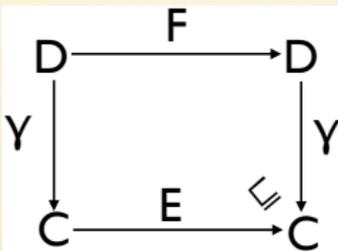
$$\alpha \circ E \circ \gamma = F$$

diagrammatically,



Fixpoint Transfer

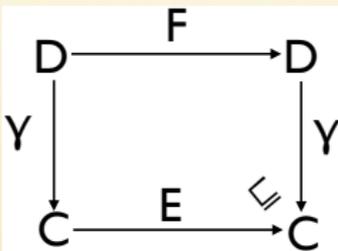
Let $E : C \rightarrow C$ and $F : D \rightarrow D$ be monotonic functions. If



then $lfp(E) \sqsubseteq \gamma(lfp(F))$

Fixpoint Transfer

Let $E : C \rightarrow C$ and $F : D \rightarrow D$ be monotonic functions. If



then $lfp(E) \sqsubseteq \gamma(lfp(F))$

Same condition. Preset D . For all d in D , if $F(d) \sqsubseteq d$, then $lfp(E) \sqsubseteq \gamma(d)$.

Guarantee for Correctness

Given data:

- Program analysis ($D, F : D \rightarrow D$)
- Concrete semantics ($C, E : C \rightarrow C$)

- 1 Find a Galois connection from C to D
- 2 Show that F is a sound abstraction of E
- 3 Then, correct by fixpoint transfer theorem

Main Concerns

Q1: Does a fixpoint of F exist?

- Yes, if D is a complete lattice and F is monotonic.

Q2: Is a fixpoint of F a correct answer?

- Yes, if Galois connection, and sound transfer.

Q3: Does our algorithm always terminate? If not, what should we do?

- Use finite D .

Main Concerns

Q1: Does a fixpoint of F exist?

- Yes, if D is a complete lattice and F is monotonic.

Q2: Is a fixpoint of F a correct answer?

- Yes, if Galois connection, and sound transfer.

Q3: Does our algorithm always terminate? If not, what should we do?

- Use **finite** D .

Widening and Narrowing

Analysis with Intervals

```
assume(x == 2);  
while (nondet()) { x = x + 2; }  
assert(x > 0);
```

- $D = \emptyset \cup \{[n, m] \mid n, m \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge n \leq m\}$
- $F(d) = [2, 2] \cup (\text{if } (d = [i, j]) \text{ then } [i + 2, j + 2] \text{ else } \emptyset).$
- $\text{lfp}(F) = [2, +\infty].$

Analysis with Intervals

```
assume(x == 2);  
while (nondet()) { x = x + 2; }  
assert(x > 0);
```

- $D = \emptyset \cup \{[n, m] \mid n, m \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge n \leq m\}$
- $F(d) = [2, 2] \cup (\text{if } (d = [i, j]) \text{ then } [i + 2, j + 2] \text{ else } \emptyset).$
- $\text{lfp}(F) = [2, +\infty]$. But it cannot be reached in finite steps. So, the analysis will not terminate.

Analysis with Intervals

```
assume(x == 2);  
while (nondet()) { x = x + 2; }  
assert(x > 0);
```

- $D = \emptyset \cup \{[n, m] \mid n, m \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge n \leq m\}$
- $F(d) = [2, 2] \cup (\text{if } (d = [i, j]) \text{ then } [i + 2, j + 2] \text{ else } \emptyset).$
- $\text{lfp}(F) = [2, +\infty]$. But it cannot be reached in finite steps. So, the analysis will not terminate.
- $\emptyset, F(\emptyset) = [2, 2], F^2(\emptyset) = [2, 4], F^3(\emptyset) = [2, 6], \dots$

Widening Operator ∇

binary operator $\nabla : D \times D \rightarrow D$

Widening Operator ∇

binary operator $\nabla : D \times D \rightarrow D$

such that

- $d_i \sqsubseteq d_1 \nabla d_2$ for $i \in \{1, 2\}$; and
- for every sequence $\{d_n\}_n$ in D , the following sequence $\{w_n\}_n$ has w_k with $w_k = w_{k+1}$:

$$w_0 = d_0, w_{n+1} = w_n \nabla d_{n+1}$$

Widening Operator ∇

binary operator $\nabla : D \times D \rightarrow D$

such that

- $d_i \sqsubseteq d_1 \nabla d_2$ for $i \in \{1, 2\}$; and
- for every sequence $\{d_n\}_n$ in D , the following sequence $\{w_n\}_n$ has w_k with $w_k = w_{k+1}$:

$$w_0 = d_0, w_{n+1} = w_n \nabla d_{n+1}$$

Intuition: $d_1 \nabla d_2$ extrapolates the change from d_1 to d_2 .

Quiz: Which One is Widening

$$\text{Interval} = \emptyset \cup \{[i, j] \mid i, j \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge i \leq j\}$$

I. $\emptyset \nabla d = d \nabla \emptyset = d, [i, j] \nabla [i', j'] = [i'', j'']$ where

- $i'' = (i \leq i')?i : -\infty$
- $j'' = (j' \leq j)?j : +\infty$

II. $d \nabla d' = d \sqcup d'$

III. $\emptyset \nabla d = d \nabla \emptyset = d, [i, j] \nabla [i', j'] = [i'', j'']$ where

- $i'' = (\min(i, i') < L)? -\infty : \min(i, i')$
- $j'' = (\max(j, j') > U)? +\infty : \max(j, j')$

Program Analysis with Widening

Analysis: $(D, \sqsubseteq, \perp, F, \nabla)$

Algorithm: Generate w_k according to the following rule until

$w_{k+1} = w_k$.

$$w_0 = \perp, w_{k+1} = w_k \nabla F(w_k)$$

Program Analysis with Widening

```
assume(x == 2);  
while (nondet()) { x = x + 2; }  
assert(x > 0);
```

- $D = \emptyset \cup \{[n, m] \mid n, m \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge n \leq m\}$
- $F(d) = [2, 2] \sqcup (\text{if } (d = [i, j]) \text{ then } [i + 2, j + 2] \text{ else } \emptyset).$
- $\emptyset \nabla d = d \nabla \emptyset = d,$
- $[i, j] \nabla [i', j'] = [i'', j'']$ where
 - $i'' = (i \leq i') ? i : -\infty$
 - $j'' = (j' \leq j) ? j : +\infty$

Program Analysis with Widening

```
assume(x == 2);  
while (nondet()) { x = x + 2; }  
assert(x > 0);
```

- $D = \emptyset \cup \{[n, m] \mid n, m \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge n \leq m\}$
- $F(d) = [2, 2] \sqcup (\text{if } (d = [i, j]) \text{ then } [i + 2, j + 2] \text{ else } \emptyset).$
- $\emptyset \nabla d = d \nabla \emptyset = d,$
- $[i, j] \nabla [i', j'] = [i'', j'']$ where
 - $i'' = (i \leq i') ? i : -\infty$
 - $j'' = (j' \leq j) ? j : +\infty$

Q: Simulate the analysis algorithm.

Program Analysis with Widening

Analysis: $(D, \sqsubseteq, \perp, F, \nabla)$

Algorithm: Generate w_k according to the following rule until $w_{k+1} = w_k$.

$$w_0 = \perp, w_{k+1} = w_k \nabla F(w_k)$$

Theorem The algorithm terminates.

Theorem On termination, $F(w_k) \sqsubseteq w_k$. Thus, correct if \exists -Galois connection and F is a sound abstraction.

Modification

Analysis: $(D, \sqsubseteq, \perp, F, \nabla)$

Algorithm: Generate w_k according to the following rule until $F(w_k) \sqsubseteq w_k$.

$$w_0 = \perp, w_{k+1} = w_k \nabla F(w_k)$$

Theorem The algorithm terminates.

Theorem On termination, $F(w_k) \sqsubseteq w_k$. Thus, correct if \exists -Galois connection and F is a sound abstraction.

Quiz

Design a widening operator for $P(\mathbb{Z})$.

Narrowing Operation Δ

Binary operator $\Delta : D \times D \rightarrow D$
such that

- $d_1 \sqcap d_2 \sqsubseteq d_1 \Delta d_2 \sqsubseteq d_1$ and
- for every decreasing sequence $\{d_n\}_n$ in D , the following sequence $\{v_n\}_n$ has v_k with $v_k = v_{k+1}$:

$$v_0 = d_0, \quad v_{n+1} = v_n \Delta d_{n+1}$$

Intuition: $d_1 \Delta d_2$ interpolates the change from d_1 to $d_1 \sqcap d_2$.

Example

$$\text{Interval} = \emptyset \cup \{[i, j] \mid i, j \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge i \leq j\}$$

$$\emptyset \Delta d = d \Delta \emptyset = \emptyset$$

$$[i, j] \Delta [i', j'] = [(i = -\infty)?i' : i, (j = +\infty)?j' : j]$$

Program Analysis with Widening and Narrowing

Analysis: $(D, \sqsubseteq, \perp, F, \nabla, \Delta)$

Algorithm:

- 1 Generate w_k according to the following rule until $w_{k+1} = w_k$.

$$w_0 = \perp, w_{k+1} = w_k \nabla F(w_k)$$

- 2 Refine w_k by narrowing, Generate v_m according to the following rule until $v_{m+1} = v_m$

$$v_0 = w_k, v_{m+1} = v_m \Delta (v_m \sqcap F(v_m))$$

Example

```
assume(x == 2);  
while (x < 9) { x = x + 2; }
```

- $D = \emptyset \cup \{[n, m] \mid n, m \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge n \leq m\}$
- $F(d) = [2, 2] \sqcup ((d \sqcap [-\infty, 9] = [i, j])?[i + 2, j + 2] : \emptyset)$.
- $[i, j] \nabla [i', j'] = [(i \leq i')?i : -\infty, (j' \leq j)?j : +\infty]$
- $[i, j] \Delta [i', j'] = [(i = -\infty)?i' : i, (j = +\infty)?j' : j]$

Example

```
assume(x == 2);  
while (x < 9) { x = x + 2; }
```

- $D = \emptyset \cup \{[n, m] \mid n, m \in \mathbb{Z} \cup \{-\infty, +\infty\} \wedge n \leq m\}$
- $F(d) = [2, 2] \sqcup ((d \sqcap [-\infty, 9] = [i, j])? [i + 2, j + 2] : \emptyset)$.
- $[i, j] \nabla [i', j'] = [(i \leq i')? i : -\infty, (j' \leq j)? j : +\infty]$
- $[i, j] \Delta [i', j'] = [(i = -\infty)? i' : i, (j = +\infty)? j' : j]$

Q: Simulate the analysis algorithm.

Correctness

Why is the narrowing step correct?

Main Concerns

Q1: Does a fixpoint of F exist?

- Yes, if D is a complete lattice and F is monotonic.

Q2: Is a fixpoint of F a correct answer?

- Yes, if Galois connection, and sound transfer.

Q3: Does our algorithm always terminate? If not, what should we do?

- Use finite D . Or use widening.

Roadmap

Specify an analysis in terms of the following data:

- 1 Preset D (abstract domain).
- 2 Monotone function F (abstract transfer function).
- 3 Widening operator ∇ (unless D is finite).
- 4 Narrowing operator Δ (optional).
- 5 Galois connection from C (concrete domain) to D .
- 6 Soundness of F wrt. E (concrete transfer function).

Then, our analysis terminates and is correct (sound).

Report

Rep6. Algorithms for widening and narrowing (Maximal 3 students)