Space Complexity

Huan Long

Shanghai Jiao Tong University

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Part of the slides comes from a similar course given by Prof. Yijia Chen.

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http://basics.sjtu.edu.cn/~chen/
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Textbook Introduction to the theory of computation Michael Sipser, MIT Third edition, 2012



Space Complexity

Savitch's Theorem

The Class PSPACE

Space Complexity

Definition

Let M be a DTM that halts on all inputs. The space complexity of M is the function $f : \mathbb{N} \to \mathbb{N}$, where f(n) is the maximum number of tape cells that M scans on any input of length n. If the space complexity of M is f(n), we also say that M runs in space f(n).

If M is an NTM wherein all branches halt on all inputs, we define its space complexity f(n) to be the maximum number of tape cells that M scans on any branch of its computation for any input of length n.

 $\begin{array}{l} \mbox{Definition}\\ \mbox{Let }f:\mathbb{N}\to\mathbb{R}^+ \mbox{ be a function. The space complexity classes,}\\ & \mbox{SPACE}(f(n)){=}\{L\mid L \mbox{ is a language decided}\\ & \mbox{ by an }\mathcal{O}\left(f(n)\right) \mbox{ space DTM}\}\\ & \mbox{NSPACE}(f(n)){=}\{L\mid L \mbox{ is a language decided}\\ & \mbox{ by an }\mathcal{O}\left(f(n)\right) \mbox{ space NTM}\} \end{array}$

 M_1 on $\langle \varphi \rangle$, where φ is a Boolean formula:

- 1. For each truth assignment to the variable x_1, \ldots, x_m of φ :
- 2. Evaluate φ on that truth assignment.

3. If φ ever evaluated to 1, then accept; if not, then reject. M_1 runs in linear space.

$\overline{\text{ALL}_{\text{NFA}}} \in \text{NSPACE}(n)$

 $\mathsf{ALL}_{\mathsf{NFA}} = \{ \langle A \rangle \mid A \text{ is an NFA and } L(A) = \Sigma^* \}.$

N on $\langle M \rangle$, where M is an NFA:

- 1. Place a marker on the start state of the NFA.
- 2. Repeat 2^q times, where q is the number of states of M:
- 3. Nondeterministically select an input symbol and change the positions of the markers on *M*'s state to simulate reading the symbol.
- 4. Accept if stage 2 and 3 reveal some string that *M* rejects, that is if at some point none of the markers lie on accept state of *M*. Otherwise, reject.

Savitch's Theorem

Theorem (Savitch, 1969) For any function $f : \mathbb{N} \to \mathbb{R}^+$, where f(n) > n,

 $NSPACE(f(n)) \subseteq SPACE(f^2(n)).$

Proof (1)

CANYIELD on input c_1, c_2 and t:

- 1. If t = 1, then test whether $c_1 = c_2$ or whether c_1 yields c_2 in one step according to the rules of N. Accept if either test succeeds; reject if both fail.
- 2. If t > 1, then for each configuration c_m of N using space f(n):
- 3. Run CANYIELD $(c_1, c_m, t/2)$.
- 4. Run CANYIELD $(c_m, c_2, t/2)$.
- 5. If step 3 and 4 both accept, then accept.
- 6. If haven't yet accept, then reject.

Proof (2)

- Modify N so that when it accepts, it clears its tape and moves the head to the leftmost cell - thereby entering a configuration c_{accept}.
- Let c_{start} be the start configuration of N on w.
- ▶ We select a constant *d* so that *N* has no more than $2^{d \cdot f(n)}$ configurations using f(n) tape, where n = |w|.

Proof (3)

 \boldsymbol{M} on input $\boldsymbol{w}\text{:}$

1. Output the result of CANYIELD $(c_{\text{start}}, c_{\text{accept}}, 2^{d \cdot f(n)})$. M uses space

$$\mathcal{O}\left(\log 2^{d \cdot f(n)} \cdot f(n)\right) = \mathcal{O}\left(f^2(n)\right).$$

Where do we get f(n)?

M tries
$$f(n) = 1, 2, 3, ...$$

The Class PSPACE

Definition

<u>PSPACE</u> is the class of languages that are decidable in polynomial space on a deterministic Turing machine. In other words,

$$\mathsf{PSPACE} = \bigcup_k \mathsf{SPACE}\left(n^k\right).$$

We could also define

$$\mathsf{NPSPACE} = \bigcup_k \mathsf{NSPACE} \left(n^k \right).$$

Then by Savitch's Theorem

$$NPSPACE = PSPACE.$$

The relationship of PSPACE with P and NP

A machine which runs in time t can use at most space t.

Hence

 $P \subseteq PSAPCE$ and $NP \subseteq NPSPACE = PSPACE$.

PSPACE and EXPTIME

Let $f : \mathbb{N} \to \mathbb{R}^+$ satisfy $f(n) \ge n$. Then a TM uses f(n) space can have at most

 $f(n) \cdot 2^{\mathcal{O}(f(n))}$

configurations. Therefore it must run in time $f(n) \cdot 2^{\mathcal{O}(f(n))}$.

Hence

$$\mathsf{PSAPCE} \subseteq \mathsf{EXPTIME} = \bigcup_k \mathsf{TIME} \left(2^{n^k} \right).$$

We know

$\mathsf{P}\subseteq\mathsf{NP}\subseteq\mathsf{PSAPCE}=\mathsf{NPSPACE}\subseteq\mathsf{EXPTIME}$

and it is easy to show $P \neq EXPTIME$.

The general consensus is



PSPACE Completeness

Definition

A language B is PSPACE-complete if

- 1. B is in PSPACE, and
- 2. every $A \in \mathsf{PSAPCE}$ is polynomial time reducible to B.

If *B* merely satisfies condition 2, then it is **PSPACE-hard**.

Why not polynomial space reducibility?

Let $A, B \subseteq \Sigma^*$. Then A is polynomial space reducible to B, if a polynomial space computable function $f: \Sigma^* \to \Sigma^*$ exists, where for every w

$$w \in A \iff f(w) \in B.$$

Remark

- 1. Let *B* be a language with $\emptyset \neq B \neq \Sigma^*$. Then every $A \in \mathsf{PSPACE}$ is polynomial space reducible to *B*.
- 2. Let $B \in P$ and A be polynomial space reducible to B. It is not known that $A \in P$ too.

The TQBF problem

- ► A Boolean formula contains Boolean variables, the constant 0 and 1, and the Boolean operations ∧, ∨, and ¬.
- The universal quantifiers \forall in $\forall \varphi$ means that φ is true for every value of x in the universe.
- ► The existential quantifiers \exists in $\exists \varphi$ means that φ is true for some value of x in the universe.
- Boolean formulas with quantifiers are <u>quantified Boolean formulas</u>. For such formulas, the <u>universe is {0,1}. E.g.</u>

$$\forall x \exists y \left[(x \lor y) \land (\overline{x} \lor \overline{y}) \right].$$

When each variable of a formula appears within the scope of some quantifier, the formula is <u>fully quantified</u>. A fully quantified Boolean formula is always either true or false.

The TQBF problem

The <u>TQBF</u> problem is to determine whether a fully quantified Boolean formula is true or false, i.e.,

TQBF = { $\langle \varphi \rangle$ | TQBF is a true fully quantifier Boolean formula}.

Theorem TQBF is PSPACE-complete.

Proof (1)

T on $\langle \varphi \rangle,$ a fully quantifier Boolean formula:

- 1. If φ contains no quantifiers, then it contains no variables, so evaluate φ and accept if it is true; otherwise reject.
- If φ = ∃xψ, recursively call T on ψ first with x:=0 and second with x:=1. If either result is accept, then accept; otherwise reject.
- If φ = ∀xψ, recursively call *T* on ψ first with x:=0 and second with x:=1. If both results are accept, then accept; otherwise reject.

 ${\it T}$ runs in polynomial space.

Proof (2)

Let *A* be a language decided by a TM *M* in space n^k . We need to show $A \leq_P \mathsf{TQBF}$. Using two collections of variables c_1, c_2 and t > 0, we define a formula $\varphi_{c_1,c_2,t}$. If we assign c_1 and c_2 to actual configurations, the formula is true if and only if *M* can go from c_1 to c_2 in at

most t steps.

Then we can let φ be the formula

 $\varphi_{c_{\mathsf{start}}, c_{\mathsf{accetp}}, h},$

where $h = 2^{d \cdot n^k}$, where *d* is chosen so that *M* has no more than $2^{d \cdot n^k}$ configurations on an input of length *n*.

Proof (3)

The formula encodes the contents of configuration cells as in the proof of the Cook-Levin theorem.

- Each cell has several variables associated with it, one for each tape symbol and state, corresponding to the possible settings of that cell.
- Each configuration has n^k cells and so is encoded by $\mathcal{O}(n^k)$ variables.

Proof (4)

Let t = 1. We define $\varphi_{c_1,c_2,1}$ to say that either $c_1 = c_2$, or c_2 follows from c_1 in a single step of M.

- ► We express c₁ = c₂ by saying that each of the variables representing c₁ contains the same Boolean value as the corresponding variables representing c₂.
- We express the second possibility by using the technique presented in the proof of the Cook-Levin theorem.

Proof (5)

Let t > 1. Our first try is to define

$$\varphi_{c_1,c_2,t} = \exists m_1 \left[\varphi_{c_1,m_1,t/2} \land \varphi_{m_1,c_2,t/2} \right]$$

where m_1 represents a configuration of M.

- $\varphi_{c_1,c_2,t}$ is true if and only if M can go from c_1 to c_2 within t steps.
- But it is of size roughly t, which could be $2^{d \cdot n^k}$, exponential in n.

Proof (6)

Instead we define

 $\varphi_{c_1,c_2,t} = \exists m_1 \forall (c_3,c_4) \in \{(c_1,m_1),(m_1,c_2)\} \left[\varphi_{c_3,c_4,t/2}\right].$

Then the size of $\varphi_{c_1,c_2,t}$ is $\log t$, bounded by

$$\log 2^{d \cdot n^k} = n^{\mathcal{O}(k)}.$$

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Winning strategies for games

The formula game

Let

$$\varphi = \exists x_1 \forall x_2 \exists x_3 \cdots Q x_k \left[\psi\right]$$

We associate a game with φ .

- 1. Two players, Player A and Player E, take turns selecting the values of the variables x_1, \ldots, x_k .
- 2. Player A selects values for the variable bounded to \forall .
- 3. Player E selects values for the variable bounded to \exists .
- 4. At the end, if ψ is true, then Player E wins; otherwise Player A wins.

Example Player E has a winning strategy for

 $\exists x_1 \forall x_2 \exists x_3 \left[(x_1 \lor x_2) \land (x_2 \lor x_3) \land (\overline{x_2} \lor \overline{x_3}) \right].$

Example Player A has a winning strategy for

 $\exists x_1 \forall x_2 \exists x_3 \left[(x_1 \lor x_2) \land (x_2 \lor x_3) \land (x_2 \lor \overline{x_3}) \right].$

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Let

FORMULA-GAME={ $\langle \varphi \rangle$ | Player E has a winning strategy the formula game associated with φ }

Theorem FORMULA-GAME is PSPACE-complete. Generalized geography

- 1. Two players take turns to name cities from anywhere in the world.
- 2. Each city chosen must begin with the same letter that ended the previous city's name.
- 3. Repetition isn't permitted.
- 4. The game starts with some designated starting city and ends when some player can't continue and thus loses the game.



Generalized Geography

1. Take an arbitrary directed graph with a designated start node.



- 2. Player 1 starts by selecting the designated start node.
- 3. Then the players take turns picking nodes that form a simple path in the graph.
- 4. The first player unable to extend the path loses the game.

Let

 $GG=\{\langle G, b \rangle |$ Player I has a winning strategy for the geography game played on graph *G* starting at *b* $\}$.

Theorem GG is PSPACE-complete.

Proof (1)

 $M \text{ on } \langle G,b \rangle$:

- 1. If *b* has outdegree 0, then reject.
- 2. Remove node b and all connected arrows to get a new graph G'.
- 3. For each of the nodes b_1, b_2, \ldots, b_k that *b* originally pointed at, recursively call *M* on $\langle G', b_i \rangle$.
- 4. If all of these accept, then Player II has a winning strategy in the original game, so reject. Otherwise, accept.

 ${\cal M}$ runs in linear space.

Proof (2)

To show the hardness, we give a reduction from *FORMULA-GAME*.

Let

$$\varphi = \exists x_1 \forall x_2 \exists x_3 \cdots Q x_k \, [\psi],$$

where

- the quantifier begin and end with ∃, and alternate between ∃ and ∀,
- ψ is in conjunctive normal form.

We constructs a geography game on a graph G where optimal play mimics optimal play of the formula game on φ , in which Player I in the geography game takes the role of Player E in the formula game, and Player II takes the role of Player A.

Proof (3)



Figure: At the last node of the left diamonds, it is Player I's move.

Proof (4)



 $\exists x_1 \forall x_2 \cdots \exists x_k \left[(x_1 \lor \overline{x_2} \lor x_3) \land (\overline{x_2} \lor \overline{x_3} \lor \cdots) \land \cdots \right].$

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