## Boolean Circuit Depth (II)

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## A Quick Recap

#### Definition

The depth d(C) of a circuit C is the length of the longest path from the output node to an input node. The size L(F) of a formula F is the number of its input nodes.

For a function f, the depth complexity d(f) is the minimum depth of a circuit computing f and the size complexity L(f) is the minimum size of a formula computing f.

The measure  $d_m(C)$ ,  $L_m(F)$ ,  $d_m(f)$ , and  $L_m(f)$  are defined similarly for monotone circuits, formulas, and functions respectively.

# Definition For a Boolean function $f: \{0,1\}^n \to \{0,1\}$ let

$$X = f^{-1}(1)$$
 and  $Y = f^{-1}(0)$ .

We define

$$R_f = \{(x, y, i) \mid x \in X, y \in Y, \text{ and } i \in \{1, \dots, n\} \text{ with } x_i \neq y_i\}.$$

For monotone f we also define

$$M_f=ig\{(x,y,i)\ ig|\ x\in X,\ y\in Y,\ ext{and}\ i\in\{1,\ldots,n\}\ ext{with}\ x_i=1\ ext{and}\ y_i=0ig\}.$$

#### Theorem

$$d(f) = D(R_f)$$
 and  $L(f) = C^P(R_f)$ .

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$$d_m(f) = D(CM_f)$$
 and  $L_m(f) = C^P(M_f)$ .

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We prove circuit lower bounds by reductions to lower bounds for communication complexity.

#### Matching

Given a graph G on n vertices,

MATCH(G) = 
$$\begin{cases} 1, & \text{if there is a matching of size} \geq n/3 \text{ in } G, \\ 0, & \text{otherwise.} \end{cases}$$

Theorem

 $d_m(\text{MATCH}) = \Omega(n).$ 

#### STCON

Given a directed graph G on n nodes,

$$STCON(G) = \begin{cases} 1, & \text{if there is a path in } G \text{ from vertex } 1 \text{ to vertex } n \\ 0, & \text{otherwise.} \end{cases}$$

Theorem

 $d_m(\text{STCON}) = \Omega(\log^2 n).$ 

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### Set Cover

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Let  $R_1, \ldots, R_t$  be a cover (possibly with intersections) of the matrix  $M_g$  corresponding to g with monochromatic rectangles.

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M is a total relation, and

 $D(g) \leq D(M).$ 

We construct a function  $f : \{0,1\}^t \to \{0,1\}$  such that  $D(M_f) \ge D(M)$ .

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$$f(z_1, \ldots, z_t) = \begin{cases} 1, & \text{if there exists a row } x \text{ of } M_g \text{ such that} \\ & \text{for all } i \text{ we have } (x \in R_i \Longrightarrow z_i = 1) \\ 0, & \text{otherwise.} \end{cases}$$

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f is monotone.

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- 2. Bob, given  $y \in \{0,1\}^n$ , constructs  $y' \in \{0,1\}^t$  by assigning  $y'_i = 0$  if the column y belongs to  $R_i$  and 1 otherwise.

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Assume  $D(g) = N^2(g)$ , then the function f has  $t = 2^{N(g)}$  variables and

 $d_m(f) = D(M_f) \ge D(M) \ge D(g) = \log^2 t.$ 

Similarly  $L(f) = \Omega(t^{\log t})$ .

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- 3. and both p and s are polynomially bounded in t.

#### The set-cover problem

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Γ	SET-COVER	
	Input:	A collection of <i>m</i> sets over a universe of $\ell$ elements and a number <i>d</i> .
	Problem:	Is there a subcollection of $d$ sets that covers the whole universe?

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#### Recall

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- 1. The universe is of size s + p, one element for each  $\varphi_i$ , and one element for each  $x_i \lor \bar{x}_i$ .
- 2. For every  $x_i$  there are two sets  $A_{x_i=1}$  and  $A_{x_i=0}$ .  $A_{x_i=1}$  contains all terms in which  $x_i$  appears, and  $A_{x_i=0}$  contains all terms in which  $\bar{x}_i$  appears.

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3. Finally, set d = p.
#### The correctness

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If there is cover, then for every *i* at least one of  $A_{x_1=1}$  and  $A_{x_i=0}$  is in the cover in order to cover the term  $x_i \lor \bar{x}_i$ .

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Then the cover induces a satisfying assignment, since the universe contains all the terms.

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#### Reduction to the set-cover problem (3)

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Reduction to the set-cover problem (3)

The reduction can be performed in a small depth  $O(\log t)$ .

#### Reduction to the set-cover problem (3)

# The reduction can be performed in a small depth $O(\log t)$ . Hence $d_m(\text{SET-COVER}) \ge d(f) - O(\log t) = \Omega(\log^2 t).$

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# Monotone Constant-Depth Circuits

# Circuits of unbounded fan-in

Now  $\wedge$ - and  $\vee$ -gates can have unbounded number of inputs.

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It is still the case that L(F), the size of a formula F, translate to the protocol partition number  $C^{P}(f)$ .

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However, the depth d(f) is equal to the round complexity of the protocol, the number of alternations between the communication from Alice to Bob and the communication from Bob to Alice.

Depth k vs. depth k-1 for monotone circuits

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We construct a formula  $f: \{0,1\}^n \to \{0,1\}$  with  $n = m^k$  as follows.

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1. f consists of a complete m-ary tree of depth k.

Depth k vs. depth k - 1 for monotone circuits

We construct a formula  $f : \{0,1\}^n \to \{0,1\}$  with  $n = m^k$  as follows.

- 1. f consists of a complete m-ary tree of depth k.
- 2. Each of its  $m^k$  leaves is labelled by a unique variable in  $\{x_1, \ldots, x_n\}$ .

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Depth k vs. depth k - 1 for monotone circuits

We construct a formula  $f : \{0,1\}^n \to \{0,1\}$  with  $n = m^k$  as follows.

- 1. f consists of a complete m-ary tree of depth k.
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We show that any depth k - 1 formula computing f has size exponential in m.

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It is known that the (k - 1)-round communication complexity  $D^{k-1}(T_k)$  of  $T_k$  is

$$D^{k-1}(T_k) = \Omega(m/\operatorname{polylog}(m)).$$

1. Alice computes a sequence of sets  $S_1, \ldots, S_k$  inductively:

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- 4. Bob computes a string y of length n by putting 0 in all coordinates j for  $j \in Q_k$  and 1 elsewhere.

- Alice computes a string x of length n by putting 1 in all coordinates j for j ∈ S<sub>k</sub> and 0 elsewhere.
- 4. Bob computes a string y of length n by putting 0 in all coordinates j for  $j \in Q_k$  and 1 elsewhere.
- 5. Finally, Alice and Bob use the protocol for  $M_f$  on (x, y) and output the result.

## The correctness (1)

We first show

$$f(x) = 1$$
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## The correctness (2)

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Finally, we prove that there is exactly one j with  $x_j = 1$  and  $y_j = 0$  by showing that for every  $i \in \{1, ..., k\}$  the set  $S_i \cap Q_i$  includes a single node  $v_i$ , which is the node in level i that the path from the root reaches.

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- If i is odd, then we put all the children of S<sub>i</sub> to S<sub>i+1</sub>, and only those defined by the labelling to Q<sub>i+1</sub>. Since v<sub>i</sub> ∈ S<sub>i</sub> ∩ Q<sub>i</sub>, then the next node v<sub>i+1</sub> on the path is in S<sub>i+1</sub> ∩ Q<sub>i+1</sub>.

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▶ The case for even *i* is symmetric.

#### The lower bound

We conclude for any constant k, the size of any depth k - 1 formula for f is  $C^{P,k-1}(M_f) = \Omega\left(2^{D^{k-1}(M_f)/(k-1)}\right) = \Omega\left(2^{D^{k-1}(T_f)/(k-1)}\right) = \Omega\left(2^{m/\operatorname{polylog}(m)}\right).$ 

## **Small Circuits**

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#### **Q**-Circuits

A Q-circuit is a directed acyclic graph whose gates are taken from a fixed family of gates Q.

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#### Definition

The *Q*-circuits complexity of a function f, denoted by  $S_Q(f)$ , is the minimum cost of a *Q*-circuit computing f.

#### Worst-case partition

#### Definition

Let  $f : \{0,1\}^m \to \{0,1\}$  be a function. Let *S* and *T* be a partition of the variables  $x_1, \ldots, x_m$  into two disjoint sets. The (deterministic) communication complexity of *f* between *S* and *T*, denoted  $D^{S:T}(f)$ , is the complexity of computing *f* where Alice sees all bits in *S*, and Bob sees all bits in *T*.

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The worse-case communication complexity of f, denoted by  $D^{\text{worst}}(f)$ , is the maximum of  $D^{S;T}(f)$  over all such partitions.

# Lemma $Denote c_Q = \max_{q \in Q} D^{worst}(q).$

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#### Lemma

Denote  $c_Q = \max_{q \in Q} D^{\text{worst}}(q)$ . Then, for all f we have

 $S_Q(f) \geq rac{D^{ ext{worst}}(f)}{c_Q}.$ 

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- all the inputs to the gate that are Alice's variables in one set,
- all the inputs to the gate that are Bob's variables in the other set,
- and all the other inputs (that both players know) are partitioned in an arbitrary way.
## Proof

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- 2. For every gate *q* there are some inputs for *q* that are the results of previous gates (whose values are known to both player) and some input variables.

Alice and Bob compute the value of q using the best protocol for computing q with respect to any partition that has

- all the inputs to the gate that are Alice's variables in one set,
- all the inputs to the gate that are Bob's variables in the other set,
- and all the other inputs (that both players know) are partitioned in an arbitrary way.

Thus, to simulate each gate,  $c_Q$  bits of communication are sufficient.

## Proof

Fix an arbitrary partition of the input bits into two disjoint sets.

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Thus, to simulate each gate,  $c_Q$  bits of communication are sufficient.

Because the circuit is of size  $S_Q(f)$ , the whole simulation uses at most

 $c_Q \cdot S_Q(f)$ 

bits.

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Then the gate computes whether

$$\sum_{i=1}^t w_i \cdot z_i > \theta.$$

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## ${\rm GT}$ by threshold gates

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## ${\rm GT}$ by threshold gates

The "greater than" function

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Assume  $x = x_n \cdots x_1$  and  $y = y_n \cdots y_1$ . Then

$$x > y \quad \iff \quad \sum_{i=1}^{n} 2^{i-1} x_i + \sum_{i=1}^{n} -2^{i-1} y_i = x - y > \theta = 0.$$

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For a threshold gate specified by  $(w_1, \ldots, w_t, \theta)$ , its total weight is

$$\sum_{i=1}^t |w_i|.$$

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For the previous threshold gate  $(1, 2, \ldots, 2^{n-1}, -1, -2, \ldots, -2^{n-1}, 0)$ , its total weight is

$$W = 2 \cdot \sum_{i=1}^{n} 2^{i-1} = 2^{n+1} - 2.$$

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We will show that an exponential weight,  $W \ge 2^n$  is necessary for computing GT with a single gate.

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## $c_Q \leq \log W + 1$

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Let S : T be an arbitrary partition of the input bits.

- 1. Alice computes  $\sum_{z_i \in S} w_{z_i} z_i$  and send the result to Bob.
- 2. Bob computes  $\sum_{z_i \in T} w_{z_i} z_i$ , adds the result to the number received from Alice, and compares the sum with  $\theta$ .

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Now we apply

$$S_Q(GT) \ge D^{ ext{worst}}(GT)/c_Q$$

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and  $D^{\text{worst}}(\text{GT}) = D(\text{GT}) = n + 1$ . Thus the size of any *Q*-circuit computing GT is at least

$$\frac{n+1}{\log W+1}$$

# Depth 2 Threshold Circuits

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#### Lemma

Assume that a function  $f : \{0,1\}^m \to \{0,1\}$  can be computed by a depth 2 threshold circuit, whether the total weight of each gate is bounded by W. Then

 $R^{\mathrm{pub,worst}}_{1/2+1/(4W)}(f) \leq \log W + 1.$ 

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- 2. The weighted sum computed by the gate is always nonzero. We multiply each weight by 2, and decrease the weight of the constant 1 by 1, i.e., its weight is  $-2\theta + 1$ .

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The function does not change, and the new total weight  $W' \leq 4W$ .

# Proof (2)

Let  $f_1, \ldots, f_t$  be the functions that are the inputs to the top gate and  $w_1, \ldots, w_t$ . These functions are either constants or input variables or threshold gates, all satisfy

 $D^{\mathrm{worst}}(f_i) \leq \log W + 1.$ 

# Proof (3)

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3.1 If b = 0, then the output is chosen uniformly at random from 0 and 1.
3.2 If b = 1, then the output is 1 if w<sub>i</sub> > 0 and 0 if w<sub>i</sub> < 0.</li>

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Therefore the total success probability is at least

$$\frac{\alpha}{2} + \frac{1-\alpha}{2} + \frac{1}{W'} = \frac{1}{2} + \frac{1}{W'}.$$

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By Exercise 3.30

$$R^{ ext{pub,worst}}_{1/2+1/W}( ext{IP}) \geq m - O(\log W).$$

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By the previous lemma any depth 2 threshold circuit for IP must satisfy

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That is, provided the weights are small, the size of the circuit has to be exponential.

# Thank You!