## Boolean Circuit Depth

Yijia Chen Fudan University

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- 2. There is a tight connection between the circuit complexity of a function and the communication complexity of a corresponding relation, which is the main topic of this chapter.
- 3. I'm not an expert in communication complexity, so please ask questions and correct mistakes.

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### Plan

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2. Show a few examples.

## Introduction

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- 2. gates with in-degree 2, each labelled by a Boolean operation, either  $\lor$  or  $\land$ .

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A circuit in which each node has out-degree 1 (except for the output node) is called a formula. (Note that we allow many input nodes to have the same label).

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- 2. If one of the two nodes entering the gate computes the function  $g_1(z_1, \ldots, z_n)$  and the other node computes the function  $g_2(z_1, \ldots, z_n)$  the the gate computes the function

$$g(z_1,\ldots,z_n)=g_1(z_1,\ldots,z_n)\vee g_2(z_1,\ldots,z_n)$$

if the gate is labelled  $\lor$  and it computes

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For every Boolean function  $f : \{0,1\}^n \to \{0,1\}$  there is a circuit or even formula computing f, but possibly of huge size.

## Definition For $x, y \in \{0, 1\}^n$ we say that $x \le y$ if $x_i \le y_i$ for all $i \in [n]$ . A function $f : \{0, 1\}^n \to \{0, 1\}$ is monotone if $x \le y$ implies $f(x) \le f(y)$ .

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#### Lemma

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#### Lemma

- 1. The function computed by a monotone circuit is monotone.
- 2. For every monotone function there is a monotone circuit computing it.

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For a function f, the depth complexity d(f) is the minimum depth of a circuit computing f and the size complexity L(f) is the minimum size of a formula computing f.

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The measure  $d_m(C)$ ,  $L_m(F)$ ,  $d_m(f)$ , and  $L_m(f)$  are defined similarly for monotone circuits, formulas, and functions respectively.

The Connection to Communication Complexity

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$$X = f^{-1}(1)$$
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For monotone f we also define

$$M_f=ig\{(x,y,i)\ ig|\ x\in X,\ y\in Y,\ ext{and}\ i\in\{1,\ldots,n\}\ ext{with}\ x_i=1\ ext{and}\ y_i=0ig\}.$$

#### Lemma

For every circuit C for f there is a corresponding protocol  $\mathcal{P}$  for  $R_f$  in which at most d(C) bits are exchanged.

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Alice and Bob traverse the nodes of the circuit C, starting from the output node and continuing towards the input nodes, while maintaining the following invariant on the function g computed by the current node

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The invariant if trivially true for the output node.

## Proof (2)

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Suppose that the current node is a  $\lor$ -gate, and let  $g_1$  and  $g_2$  be the functions corresponding to the nodes entering the current node. Then

$$g(z_1,\ldots,z_n)=g_1(z_1,\ldots,z_n)\vee g_2(z_1,\ldots,z_n).$$

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Then Alice who knows x sends a single bit indicating for which  $i \in \{1, 2\}$  we have  $g_i(x) = 1$ . So Alice and Bob can move to the same  $g_i$  and maintain the invariant.

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The case for a  $\wedge$ -gate is symmetric.

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Finally, when the players reach an input node, labelled by either  $z_i$  or  $\overline{z}_i$ . Then they both know that i is an appropriate output, i.e.,  $(x, y, i) \in R_f$ .

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#### Lemma

For every protocol  $\mathcal{P}$  for  $R_f$  there is a corresponding circuit C for f such that d(C) is at most the communication complexity of  $\mathcal{P}$ .

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- 2. Each internal node in which Bob sends a bit is labelled by  $\wedge$ .
- 3. Each leaf of the tree is a monochromatic rectangle  $A \times B$  with whom an output *i* is associated. We claim
  - 3.1 either  $x_i = 1$  for all  $x \in A$  and  $y_i = 0$  for all  $y \in B$  in which case this leaf is labelled by  $z_i$ ;

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We convert the protocol tree for  $\mathcal{P}$  to a circuit as follows.

- 1. Each internal node in which Alice sends a bit is labelled by  $\lor$ .
- 2. Each internal node in which Bob sends a bit is labelled by  $\wedge$ .
- 3. Each leaf of the tree is a monochromatic rectangle  $A \times B$  with whom an output *i* is associated. We claim
  - 3.1 either  $x_i = 1$  for all  $x \in A$  and  $y_i = 0$  for all  $y \in B$  in which case this leaf is labelled by  $z_i$ ;

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3.2 or  $x_i = 0$  for all  $x \in A$  and  $y_i = 1$  for all  $y \in B$  in which case this leaf is labelled by  $\overline{z}_i$ .

#### Proof of the claim

Take any  $x \in A$  and let  $\sigma = x_i$ .

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Take any  $x \in A$  and let  $\sigma = x_i$ . Because for all  $y \in B$  the value *i* is a legal output on (x, y), we conclude  $y_i = \overline{\sigma}$  for all  $y \in B$ .

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The depth of the circuit equals the depth of the protocol tree, i.e., the communication complexity of  $\mathcal{P}$ .

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We prove that the circuit computes f by showing

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for every node of the circuit, the function g corresponding to that node satisfies g(z) = 1 for all  $z \in A$  and g(z) = 0 for all  $z \in B$ , where  $A \times B$  are the inputs that reach the corresponding node of the protocol.

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It is true in the input nodes by our construction and the claim.

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Now consider an internal node computing a function g such that the claim was already proved for its two children (computing the functions  $g_1$  and  $g_2$ ).

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Let  $A \times B$  be the inputs reaching this node in the protocol tree.

Now consider an internal node computing a function g such that the claim was already proved for its two children (computing the functions  $g_1$  and  $g_2$ ).

Let  $A \times B$  be the inputs reaching this node in the protocol tree. Assume, without loss of generality, that Alice sends a bit in this node.

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$$g_1(x) = 1$$
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- 2.  $g_2(x) = 1$  for all  $x \in A_2$  and  $g_2(y) = 0$  for all  $y \in B$ ;.

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So our construction

$$g(z_1,\ldots,z_n)=g_1(z_1,\ldots,z_n)\vee g_2(z_1,\ldots,z_n)$$

satisfies g(y) = 0 for all  $y \in B$  and g(x) = 1 for all  $x \in A = A_1 \cup A_2$ .

#### Theorem

$$d(f) = D(R_f)$$
 and  $L(f) = C^P(R_f)$ .

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#### Theorem

$$d_m(f) = D(CM_f)$$
 and  $L_m(f) = C^P(M_f)$ .

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# Matching and ST-Connectivity

## Matching
A matching in a graph G = (V, E) is a set of edges such that no pair of them has a common vertex.

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Given a graph G on n vertices, represented by  $n' = \binom{n}{2}$  Boolean variables (each indicating whether a certain edge (i, j) appears in the graph or not).

MATCH(G) = 
$$\begin{cases} 1, & \text{if there is a matching of size} \ge n/3 \text{ in } G, \\ 0, & \text{otherwise.} \end{cases}$$

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MATCH is monotone.

With our loss of generality we assume n = 3m for some  $m \in \mathbb{N}$ .

# $M_{ m MATCH}$

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The relation  $M_{\text{MATCH}}$  is defined by:





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1. X is the set of all graphs of n = 3m vertices with a matching of size m.

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- 1. X is the set of all graphs of n = 3m vertices with a matching of size m.
- 2. Y is the set of all graphs of n = 3m vertices without such a matching.

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- 2. Y is the set of all graphs of n = 3m vertices without such a matching.
- 3. Alice is given  $x \in X$  and Bob  $y \in Y$ , and they have to find an edge that is in x but not in y, or equivalently an index i such that  $x_i = 1$  and  $y_i = 0$ .

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- 1. X' is the set of graphs on *n* vertices that are matchings of size *m*.
- 2. Y' is the set of graphs in which the vertices are partitions into two sets S of size m 1 and T of size 2m + 1, and the edges are all the pairs in which at least one vertex is in S.

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 $M = \{(P, S, i) \mid P \in X, S \in Y,$ 

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Theorem  $D(M) = \Omega(m).$ 

1. Alice, given a list *P* of *m* mutually disjoint pairs of elements in  $\{1, \ldots, 3m\}$ , transforms it into a matching of size *m* in a graph with n = 3m vertices, hence obtains a graph  $x \in X'$ .

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Hence we get  $D(M) \leq D(M') + \log m$ , and recall  $D(M) = \Omega(m)$ . Altogether

 $d_m(\text{MATCH}) = D(M_{\text{MATCH}}) \ge D(M') \ge D(M) - \log m = \Omega(m) = \Omega(n).$ 

#### STCON

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The *s*-*t*-connectivity function STCON is defined as follows:



The s-t-connectivity function  ${\tt STCON}$  is defined as follows: Given a directed graph G on n nodes,

STCON(G) = 
$$\begin{cases} 1, & \text{if there is a path in } G \text{ from vertex } 1 \text{ to vertex } n \\ 0, & \text{otherwise.} \end{cases}$$

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# $M_{\rm STCON}$

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1. X is the set of all directed graphs G on n vertices with a directed path from vertex 1 to vertex n.

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# $M_{\rm STCON}$

- 1. X is the set of all directed graphs G on n vertices with a directed path from vertex 1 to vertex n.
- 2. Y is the set of all directed graphs G on n vertices with no directed paths from vertex 1 to vertex n.
- 3. The task of Alice and Bob is given  $x \in X$  and  $y \in Y$  to find an edge that appears in x but not in y.

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# Restricting $M_{\rm STCON}$

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The domains X' and Y' are obtained by restricting our attention to layered graphs that consist of  $\ell + 2$  layers  $0, 1, \ldots, \ell, \ell + 1$  each of them with w vertices with

$$\ell + 2 = w = \sqrt{n}.$$

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$$\ell + 2 = w = \sqrt{n}.$$

1. Every edge connects a vertex in some layer i and a vertex in the adjacent layer i + 1.

2. Vertex 1 belongs to layer 0 and vertex *n* belongs to layer  $\ell + 1$ .

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Alice considers her string a ∈ {1,..., w}<sup>ℓ</sup> as a directed path from vertex 1 to vertex n (this will be her graph x) by choosing from each layer i its a<sub>i</sub>-th vertex and connecting them.

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Observe that the path corresponding to b does not reach vertex n,

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Observe that the path corresponding to b does not reach vertex n, and vertex 1 is not connected to vertex n in y.

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We conclude

 $d_m(\text{STCON}) = D(M_{\text{STCON}}) \ge D(M) \ge D(\text{FORK}) = \Omega(\log \ell \cdot \log w) = \Omega(\log^2 n).$ 

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# Set Cover

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Let  $R_1, \ldots, R_t$  be a cover (possibly with intersections) of the matrix  $M_g$  corresponding to g with monochromatic rectangles.

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We define

$$M = \{(x, y, i) \mid x, y \in \{0, 1\}^n \text{ and } (x, y) \in R_i\}.$$

M is a total relation,

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M is a total relation, and

 $D(g) \leq D(M).$ 

We construct a function  $f : \{0,1\}^t \to \{0,1\}$  such that  $D(M_f) \ge D(M)$ .

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We construct a function  $f: \{0,1\}^t \to \{0,1\}$  such that  $D(M_f) \ge D(M)$ .

$$f(z_1, \ldots, z_t) = \begin{cases} 1, & \text{if there exists a row } x \text{ of } M_g \text{ such that} \\ & \text{for all } i \text{ we have } (x \in R_i \Longrightarrow z_i = 1) \\ 0, & \text{otherwise.} \end{cases}$$

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f is monotone.

1. Alice, given  $x \in \{0,1\}^n$ , constructs  $x' \in \{0,1\}^t$  by assigning  $x'_i = 1$  if the the row x belongs to  $R_i$  and 0 otherwise.

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- 2. Bob, given  $y \in \{0,1\}^n$ , constructs  $y' \in \{0,1\}^t$  by assigning  $y'_i = 0$  if the column y belongs to  $R_i$  and 1 otherwise.

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- Alice and Bob use the protocol for the relation M<sub>f</sub> on (x', y') to get an index i with x'<sub>i</sub> = 1 and y'<sub>i</sub> = 0. Thus, both x and y intersect R<sub>i</sub>, i.e., (x, y, i) ∈ M.

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Assume  $D(g) = N^2(g)$ , then the function f has  $t = 2^{N(g)}$  variables and

 $d_m(f) = D(M_f) \ge D(g) = \log^2 t.$ 

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Similarly  $L(f) = \Omega(t^{\log t})$ .

Note we can write

$$egin{aligned} f(z_1,\ldots,z_t) &\equiv \exists x \in \{0,1\}^n : \ & \left[(x \in R_1) \Longrightarrow (z_1=1)
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If deciding " $x \in R_i$ " can be done in time polynomial in t, then f is a function in NP, and can be rewritten to a 3-CNF formula

$$f(z_1,\ldots,z_t)\equiv \exists x_1\cdots x_p(\varphi_1\wedge\cdots\wedge\varphi_s),$$

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where

- 1.  $x_{n+1}, \ldots, x_p$  are auxiliary variables,
- 2. each  $\varphi_i$  is a disjunction of 3 literals on the variables  $x_1, \ldots, x_p$ ,

$$egin{aligned} f(z_1,\ldots,z_t) &\equiv \exists x \in \{0,1\}^n: \ & \left[(x \in R_1) \Longrightarrow (z_1=1)
ight] \wedge \cdots \wedge \left[(x \in R_t) \Longrightarrow (z_t=1)
ight]. \end{aligned}$$

If deciding " $x \in R_i$ " can be done in time polynomial in *t*, then *f* is a function in NP, and can be rewritten to a 3-CNF formula

$$f(z_1,\ldots,z_t)\equiv \exists x_1\cdots x_p(\varphi_1\wedge\cdots\wedge\varphi_s),$$

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where

- 1.  $x_{n+1}, \ldots, x_p$  are auxiliary variables,
- 2. each  $\varphi_i$  is a disjunction of 3 literals on the variables  $x_1, \ldots, x_p$ ,
- 3. and both p and s are polynomially bounded in t.

# The set-cover problem

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Γ	SET-COVER	
	Input:	A collection of <i>m</i> sets over a universe of $\ell$ elements and a number <i>d</i> .
	Problem:	Is there a subcollection of $d$ sets that covers the whole universe?

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Recall

$$f(z_1,\ldots,z_t)\equiv \exists x_1\cdots x_p(\varphi_1\wedge\cdots\wedge\varphi_s).$$

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- 1. The universe is of size s + p, one element for each  $\varphi_i$ , and one element for each  $x_i \lor \bar{x}_i$ .
- 2. For every  $x_i$  there are two sets  $A_{x_i=1}$  and  $A_{x_i=0}$ .  $A_{x_i=1}$  contains all terms in which  $x_i$  appears, and  $A_{x_i=0}$  contains all terms in which  $\bar{x}_i$  appears.

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3. Finally, set d = p.

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If there is cover, then for every *i* at least one of  $A_{x_1=1}$  and  $A_{x_j=0}$  is in the cover in order to cover the term  $x_i \lor \bar{x_i}$ .

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Then the cover induces a satisfying assignment, since the universe contains all the terms.

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The reduction can be performed in a small depth  $O(\log t)$ .

# The reduction can be performed in a small depth $O(\log t)$ . Hence $d_m(\text{SET-COVER}) \ge d(f) - O(\log t) = \Omega(\log^2 t).$

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# Monotone Constant-Depth Circuits

# Circuits of unbounded fan-in

Now  $\wedge$ - and  $\vee$ -gates can have unbounded number of inputs.

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We can define similarly d(f) and L(f).

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It is still the case that L(F), the size of a formula F, translate to the protocol partition number  $C^{P}(f)$ .

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It is still the case that L(F), the size of a formula F, translate to the protocol partition number  $C^{P}(f)$ .

However, the depth d(f) is equal to the round complexity of the protocol, the number of alternations between the communication from Alice to Bob and the communication from Bob to Alice.

Depth k vs. depth k-1 for monotone circuits

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We construct a formula  $f: \{0,1\}^n \to \{0,1\}$  with  $n = m^k$  as follows.

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We show that any depth k - 1 formula computing f has size exponential in m.

The tree problem  $T_k$ 

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It is known that the k-1-round communication complexity  $D^{k-1}(T_k)$  of  $T_k$  is

$$D^{k-1}(T_k) = \Omega(m/\operatorname{polylog}(m)).$$

1. Alice computes a sequence of sets  $S_1, \ldots, S_k$  inductively:

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•  $S_1$  contains only the root of the tree.

- 1. Alice computes a sequence of sets  $S_1, \ldots, S_k$  inductively:
  - ► S<sub>1</sub> contains only the root of the tree.
  - If i is even, then

 $S_{i+1} = \{$ the child of v defined by the labelling given to Alice  $| v \in S_i \}$ 

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  - ► S<sub>1</sub> contains only the root of the tree.
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- 2. Bob computes a sequence of sets  $Q_1, \ldots, Q_k$  inductively:
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  - If i is even, then

$$\mathcal{Q}_{i+1} = ig\{ ext{all the children of } v ig\mid v \in \mathcal{Q}_i ig\}$$

If i is odd, then

 $Q_{i+1} = \{$ the child of v defined by the labelling given to Bob  $| v \in Q_i \}$ 

3. Alice computes a string x of length n by putting 1 in all coordinates j for  $j \in S_k$  and 0 elsewhere.

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- 4. Bob computes a string y of length n by putting 0 in all coordinates j for  $j \in Q_k$  and 1 elsewhere.

- Alice computes a string x of length n by putting 1 in all coordinates j for j ∈ S<sub>k</sub> and 0 elsewhere.
- 4. Bob computes a string y of length n by putting 0 in all coordinates j for  $j \in Q_k$  and 1 elsewhere.
- 5. Finally, Alice and Bob use the protocol for  $M_f$  on (x, y) and output the result.

## The correctness (1)

We first show

$$f(x) = 1$$
 and  $f(y) = 0$ 

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f(y) = 0 By induction on *i* from k - 1 to 1 if each node in  $Q_{i+1}$  computes the value 0, then so do all the nodes in  $Q_i$ .

## The correctness (2)

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Finally, we prove that there is exactly one j with  $x_j = 1$  and  $y_j = 0$  by showing that for every  $i \in \{1, ..., k\}$  the set  $S_i \cap Q_i$  includes a single node  $v_i$ , which is the node in level i that the path from the root reaches.

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- If i is odd, then we put all the children of S<sub>i</sub> to S<sub>i+1</sub>, and only those defined by the labelling to Q<sub>i+1</sub>. Since v<sub>i</sub> ∈ S<sub>i</sub> ∩ Q<sub>i</sub>, then the next node v<sub>i+1</sub> on the path is in S<sub>i+1</sub> ∩ Q<sub>i+1</sub>.

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- ▶ If *i* is odd, then we put all the children of  $S_i$  to  $S_{i+1}$ , and only those defined by the labelling to  $Q_{i+1}$ . Since  $v_i \in S_i \cap Q_i$ , then the next node  $v_{i+1}$  on the path is in  $S_{i+1} \cap Q_{i+1}$ . Conversely, if  $v \in S_{i+1} \cap Q_{i+1}$ , then its father is in  $S_i \cap Q_i = \{v_i\}$ . Thus,  $v = v_{i+1}$ .

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▶ The case for even *i* is symmetric.

#### The lower bound

We conclude for any constant k, the size of any depth k - 1 formula for f is  $C^{P,k-1}(M_f) = \Omega\left(2^{D^{k-1}(M_f)/(k-1)}\right) = \Omega\left(2^{D^{k-1}(T_f)/(k-1)}\right) = \Omega\left(2^{m/\operatorname{polylog}(m)}\right).$ 

# Thank You!

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